

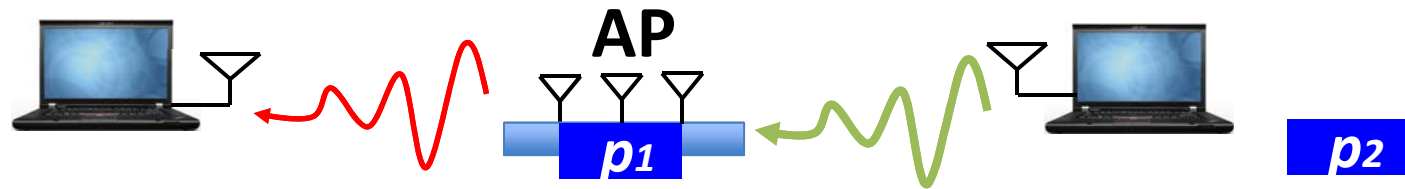


Coexistence with MIMO and Potential in Legacy LTE Systems

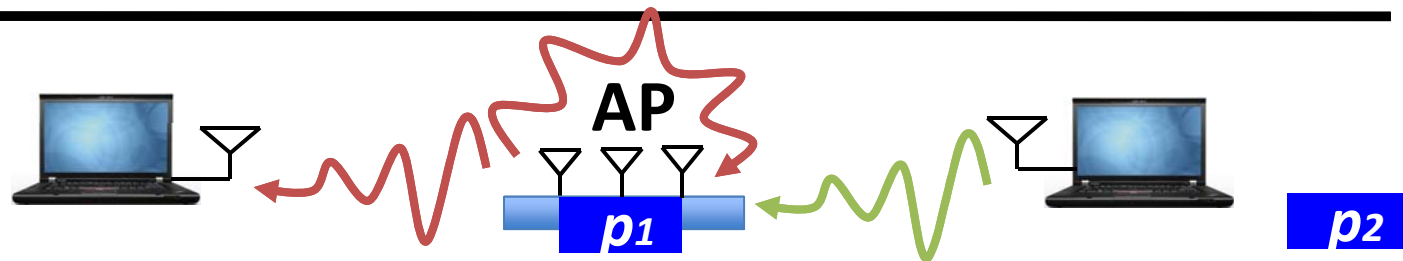
Mohammad A. (Amir) Khojastepour¹, Ehsan Aryafar², Karthik Sundaresan¹,
Rajesh Mahindra¹, Sampath Rangarajan¹

¹NEC Laboratories America, Princeton, NJ

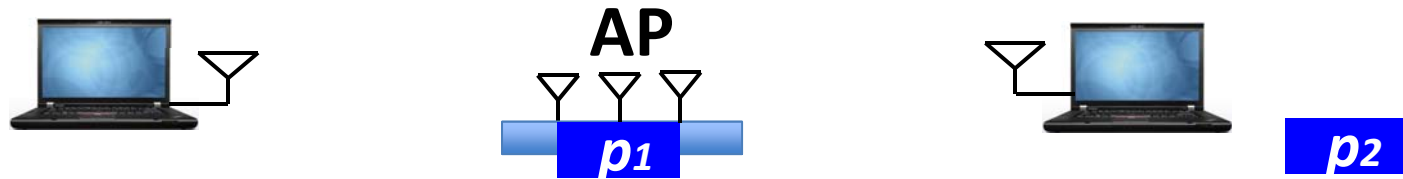
²Princeton University, Princeton, NJ



- Current wireless radios are **half duplex**



- **Current** wireless radios are **half duplex**
- **Same band Full duplex** is hard
 - Self interference is very high: ≈ 75 dB for 15 dBm Tx power
 - Transmitted signal is known \rightarrow self interference cancellation
 - Self interference can be significantly reduced by adding a cancellation circuit: e.g., **a cancelling antenna**



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Can full duplex wireless double the capacity?

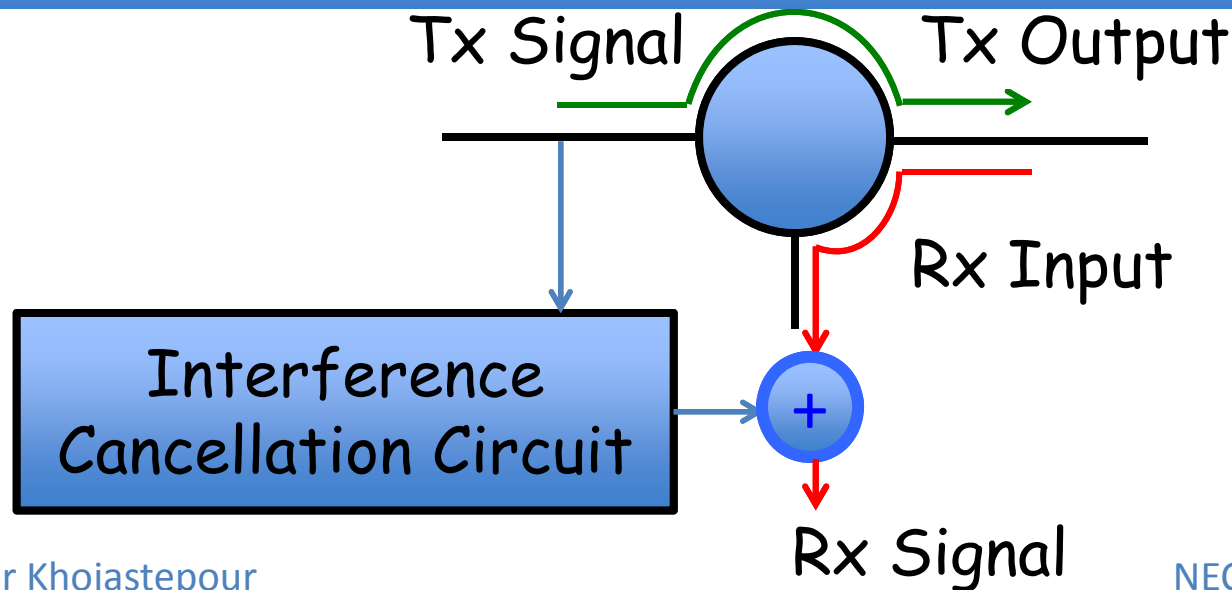


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- Background
 - Related works
 - Design of MIDU
 - Experimental Evaluation
 - Real world implementation
 - Legacy-LTE Basestation
 - Half duplex clients
 - Conclusion



- Single-antenna full duplex
 - M. Knox, “Self-jamming for full duplex”

Enhanced Circulator design for full duplex wireless



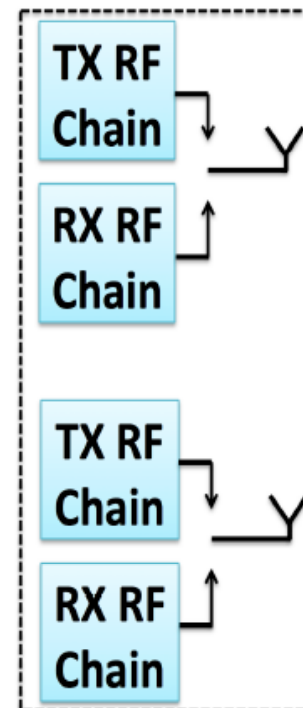


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- Single-antenna full duplex
 - M. Knox, “Self-jamming for full duplex”
 - Antenna Cancellation
 - A. Khandani, “Two-way (true full duplex) wireless”
 - Asymmetric Antenna cancellation
 - J. Choi, et. al., “Achieving single channel full duplex”
 - Analogue cancellation
 - M. Jain, et. al., “Practical full duplex”
 - M. Durate, et. al., “Full duplex with off-the-shelf radios”



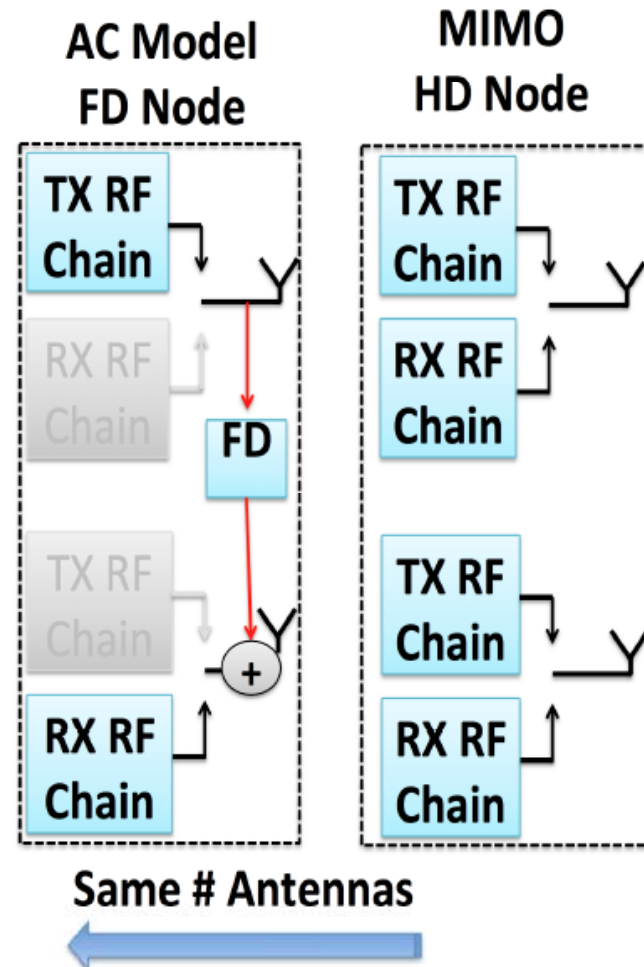
- Hardware complexity, performance, size, cost metrics

MIMO
HD Node



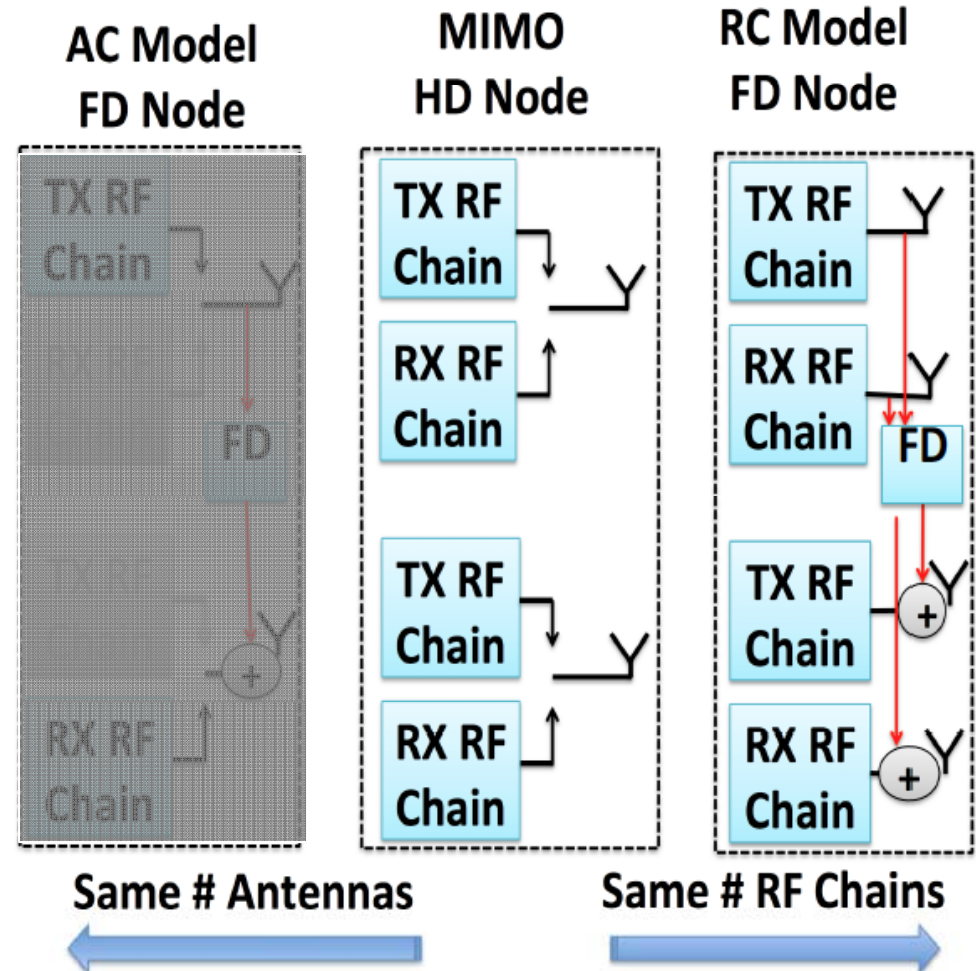


- Hardware complexity, performance, size, cost metrics
- Antenna Conserved (AC): Same # antennas





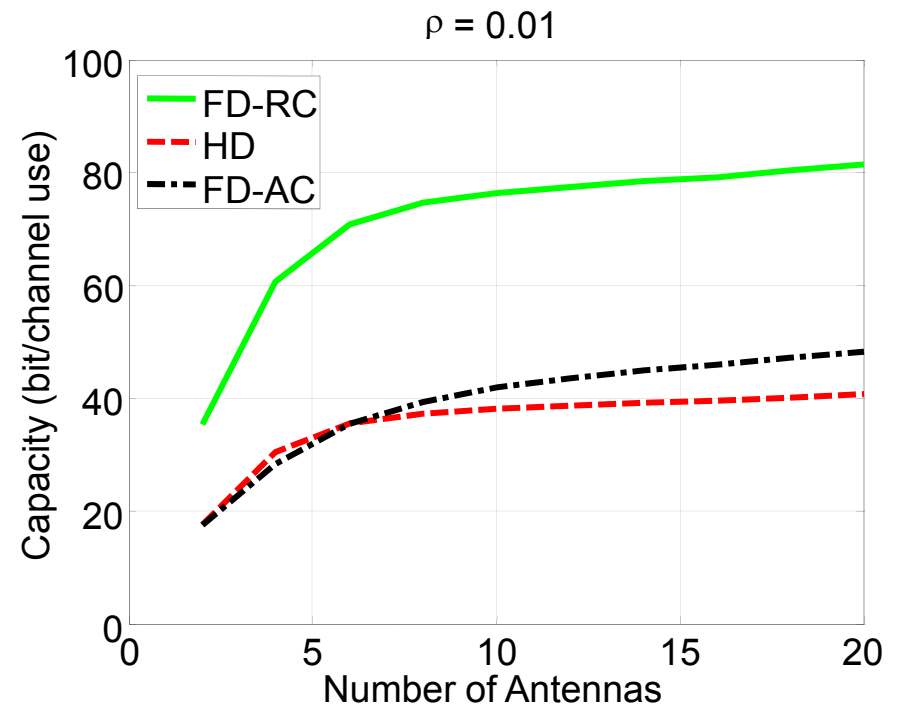
- Hardware complexity, performance, size, cost metrics
- Antenna Conserved (AC): Same # antennas
- RF-Chain Conserved (RC): Same # chains





- Hardware complexity, performance, size, cost metrics
- Antenna Conserved (AC): Same # antennas
- RF-Chain Conserved (RC): Same # chains
- Significant **FD gains** in **RC model**
- **Limited** FD gains with **small # antennas** in AC model higher gains with **more antennas**

SI loss: 6 dB Ant Correlation: 0.1





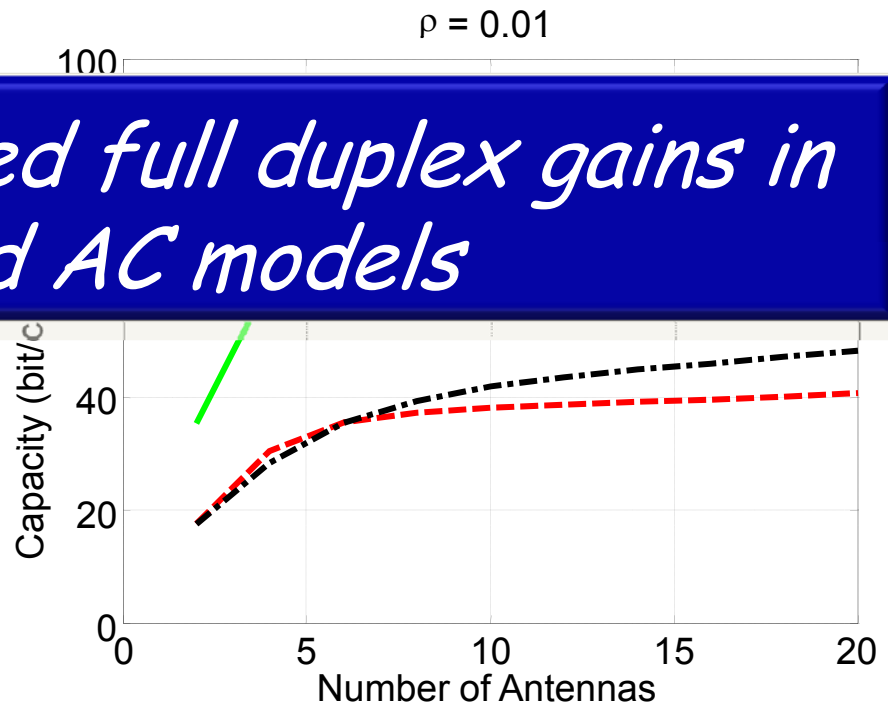
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- Antenna Conserved (AC): Same

Regions of pronounced full duplex gains in both RC and AC models

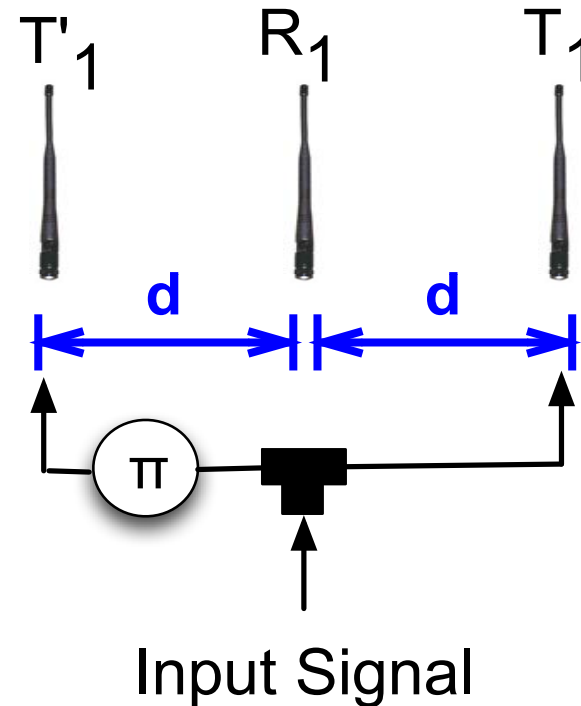
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NEC MIDU: MIMO full-DUplex



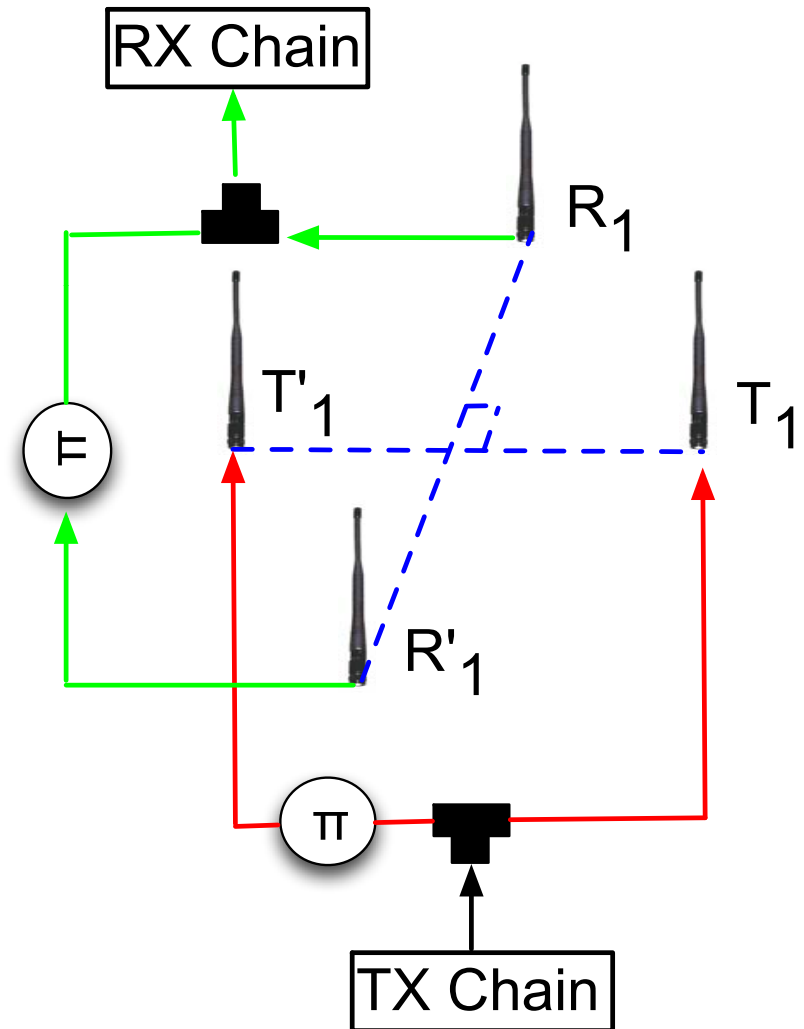
- Symmetric antenna placement



NEC MIDU: MIMO full-DUplex



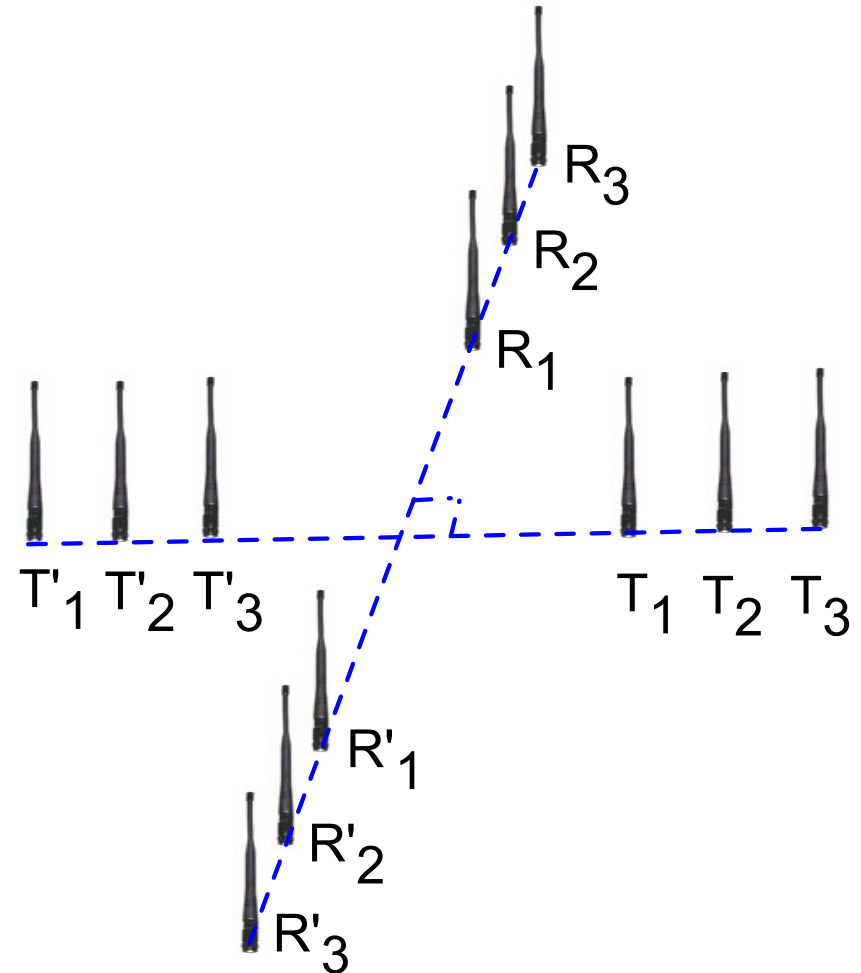
- Symmetric antenna placement
- 2-level of antenna cancellation
 - Tx cancellation followed by Rx cancellation
 - Proved in theory to have additive gains under imbalanced gains/phase or imprecise placement



NEC MIDU: MIMO full-DUplex



- Symmetric antenna placement
- 2-level of antenna cancellation
 - Tx cancellation followed by Rx cancellation
 - Proved in theory to have additive gains under imbalanced gains/phase or imprecise placement
- Easy scalability to MIMO



NEC Experimental Evaluations



- WarpLab implementation
 - Narrow-band 625 KHz
 - Open space environment
 - MIDU vs. MU-MIMO



Virtex-IV Pro FPGA

NEC Experimental Evaluations



- WarpLab implementation
 - Narrow-band 625 KHz
 - Open space environment
 - MIDU vs. MU-MIMO
- Performance metric: **SNR** and the corresponding **Shannon capacity**



Virtex-IV Pro FPGA

NEC Experimental Evaluations



- WarpLab implementation
 - Narrow-band 625 KHz
 - Open space environment
 - MIDU + MU-MIMO
- Performance metric: **SNR** and the corresponding **Shannon capacity**
- **Spectrum analyzer** based measurement or the **SNR reported by WARP**

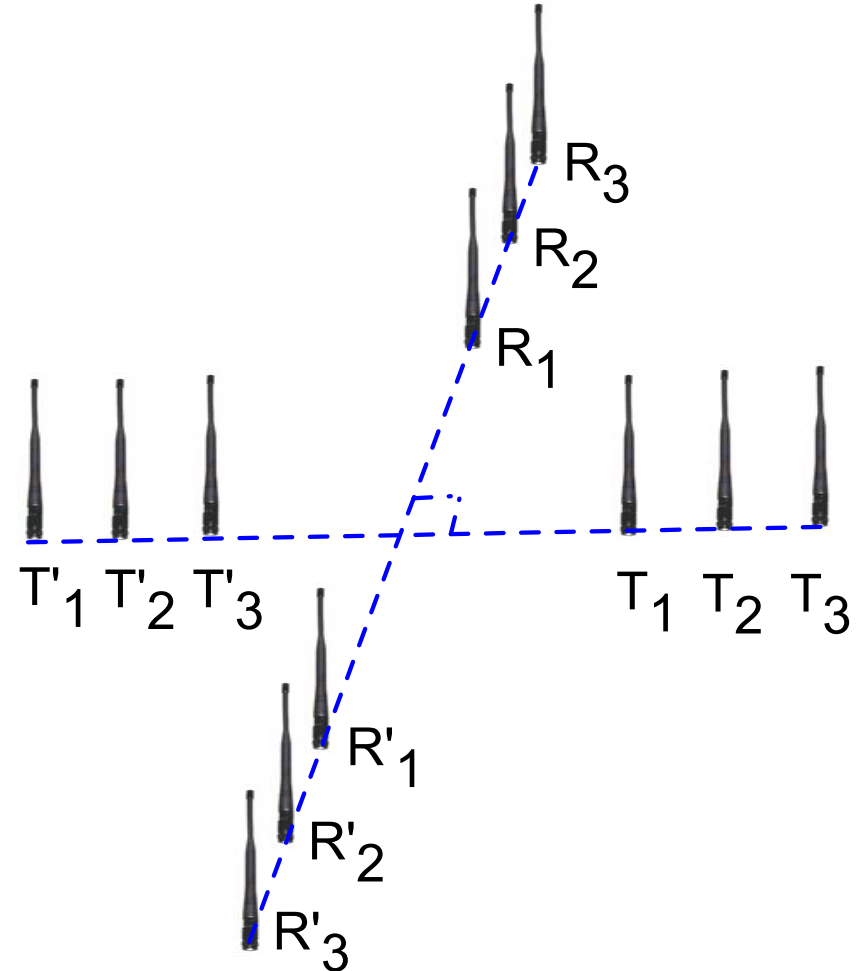


Virtex-IV Pro FPGA

NEC Experimental Evaluations



- **Feasibility**
 - Channel–distance relationship
 - Stability
 - Impact on far-field users
- **Cancellation**
 - Single-level
 - 2-level and MIMO
- **Comparison with MIMO**
 - Single link
 - Single cell



Impact of MIDU on Far-Field Users

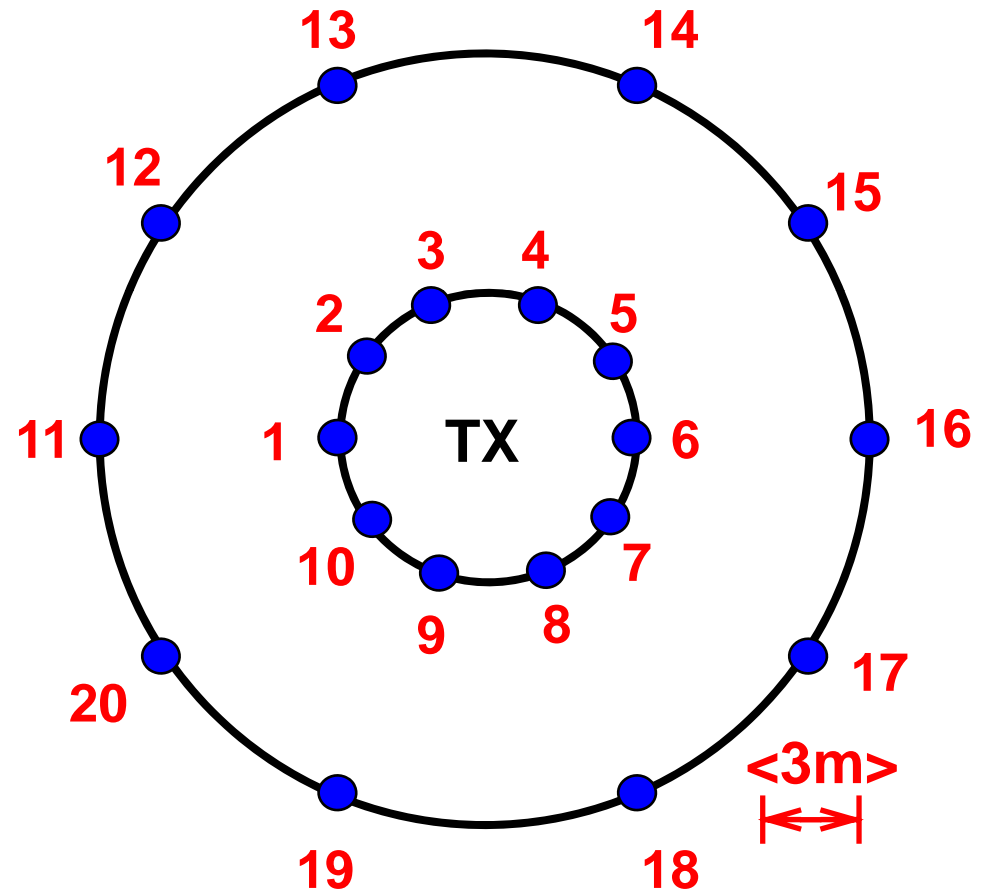


- Issue: How does symmetric antenna placement impact the far-field users?

Impact of MIDU on Far-Field Users



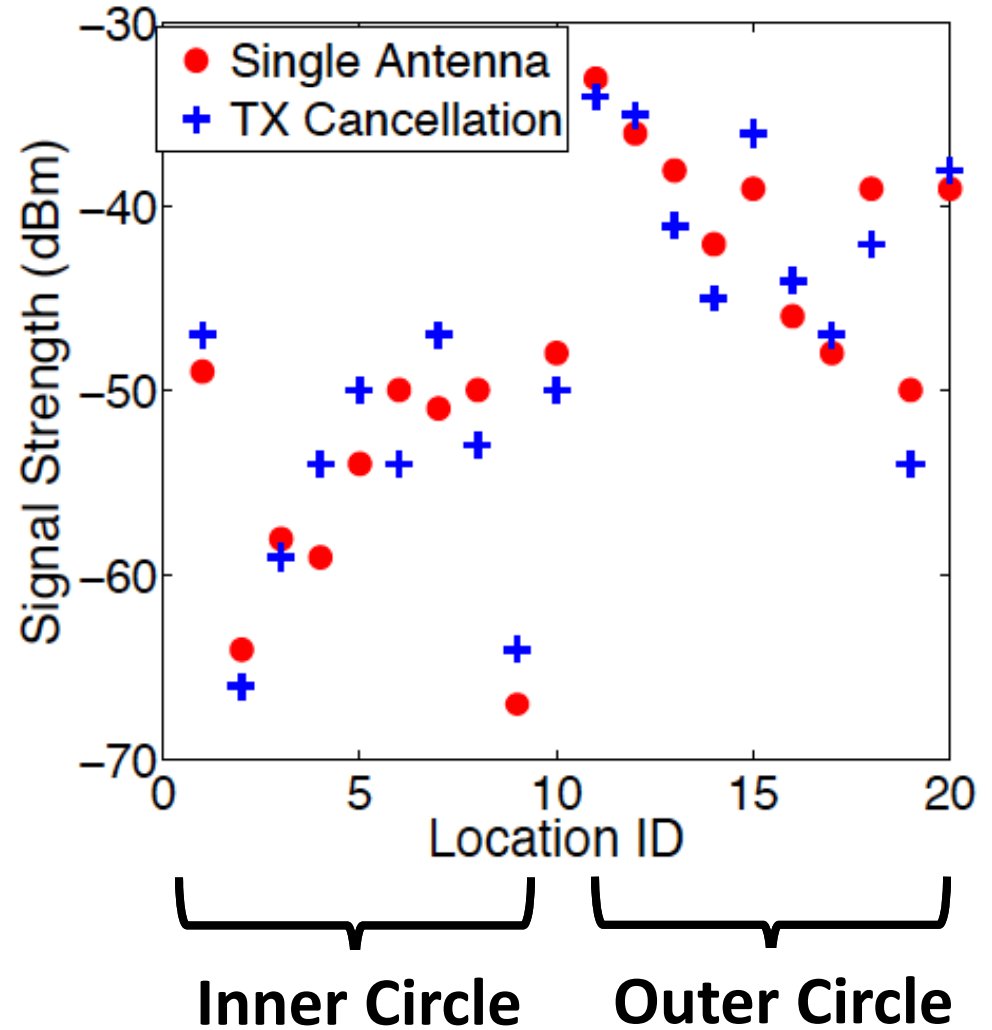
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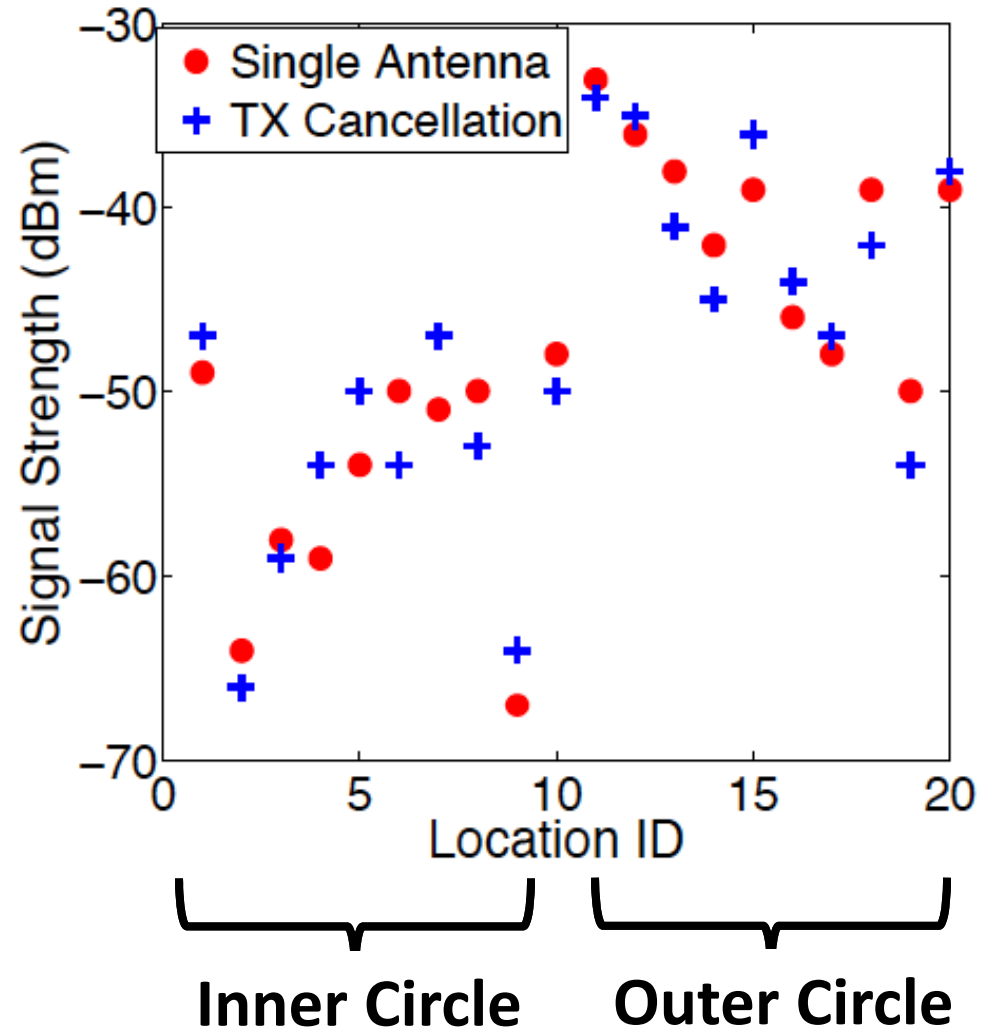
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- Achieved SNR can be up to 4 dB higher/lower



Impact of MIDU on Far-Field Users



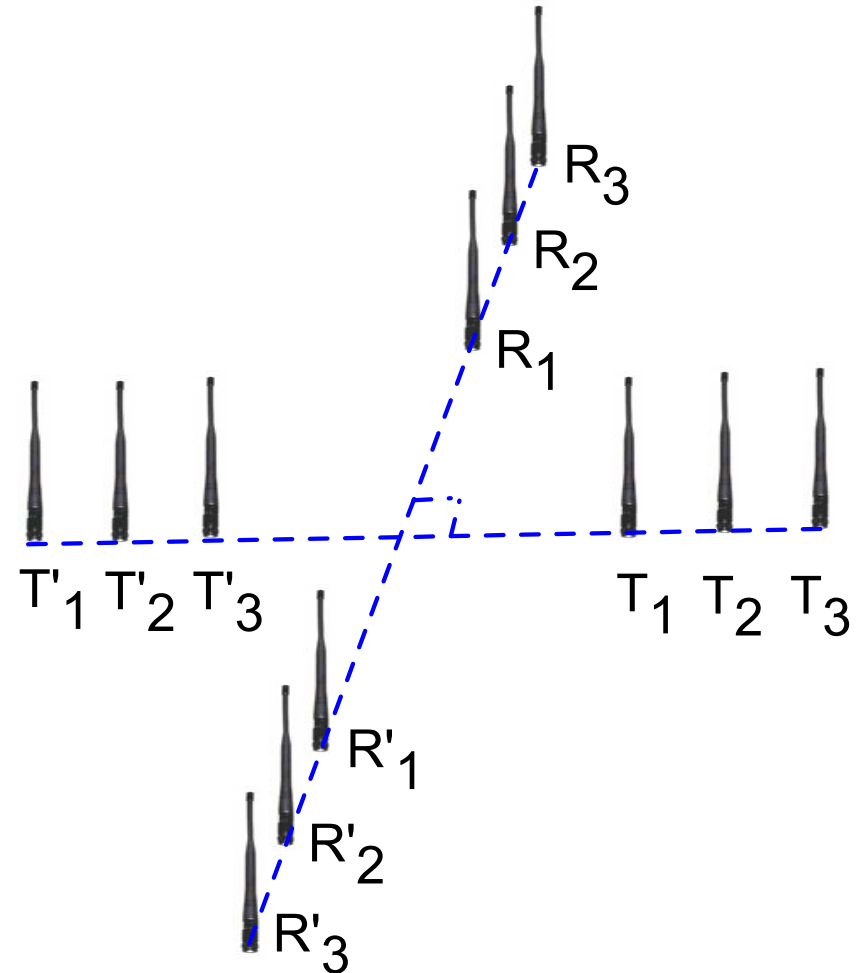
- Issue: How does symmetric antenna placement impact the far-field users?
- Achieved SNR can be up to 4 dB higher/lower
- In far-field antenna cancellation has very limited effect due to signal scattering (fading)
- Similar results hold for RX cancellation



NEC Experimental Evaluations

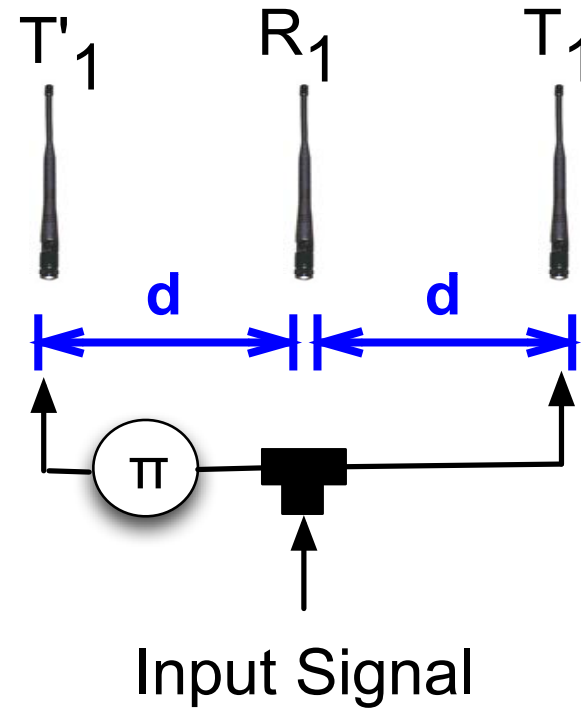


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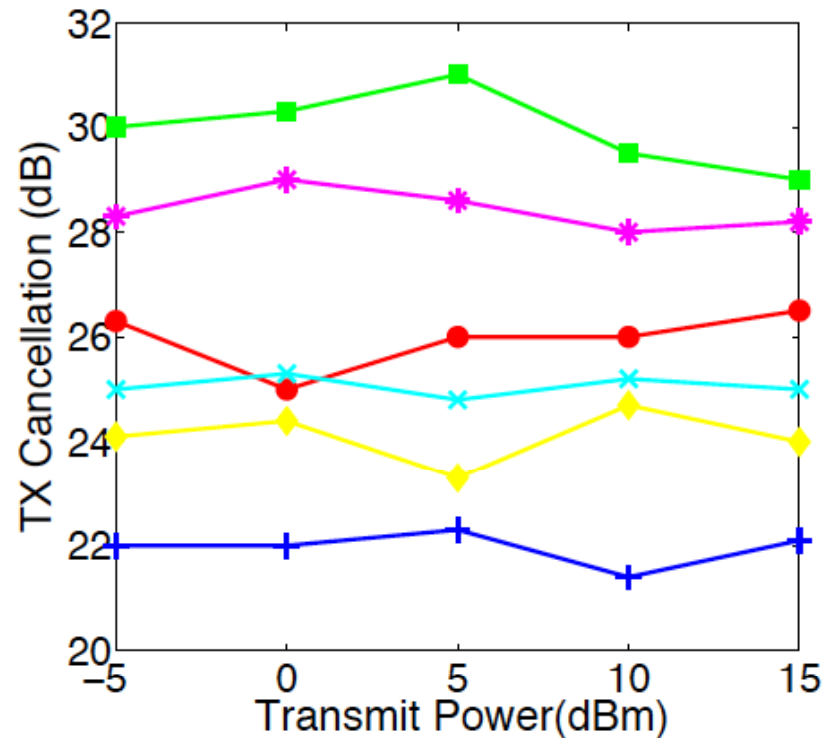


- Issue: Is 2-level cancellation additive? Is MIDU scalable?
- Connect the receiver to a spectrum analyzer



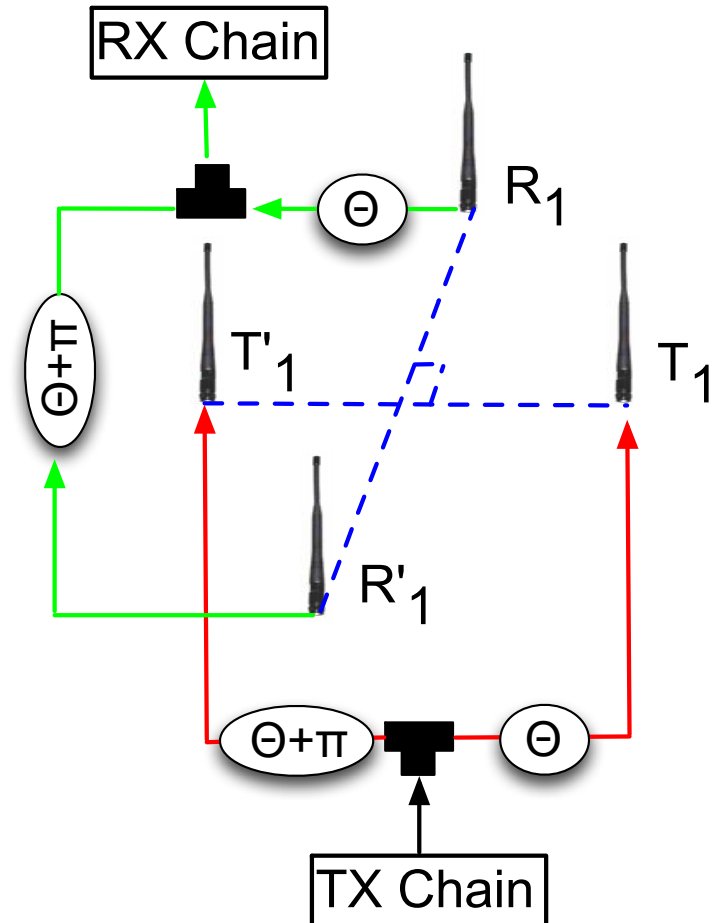


- Issue: Is **2-level** cancellation **additive**? Is MIDU **scalable**?
- **22 – 30 dB cancellation on each level** separately
- **Cancellation remains relatively unchanged with Tx power**



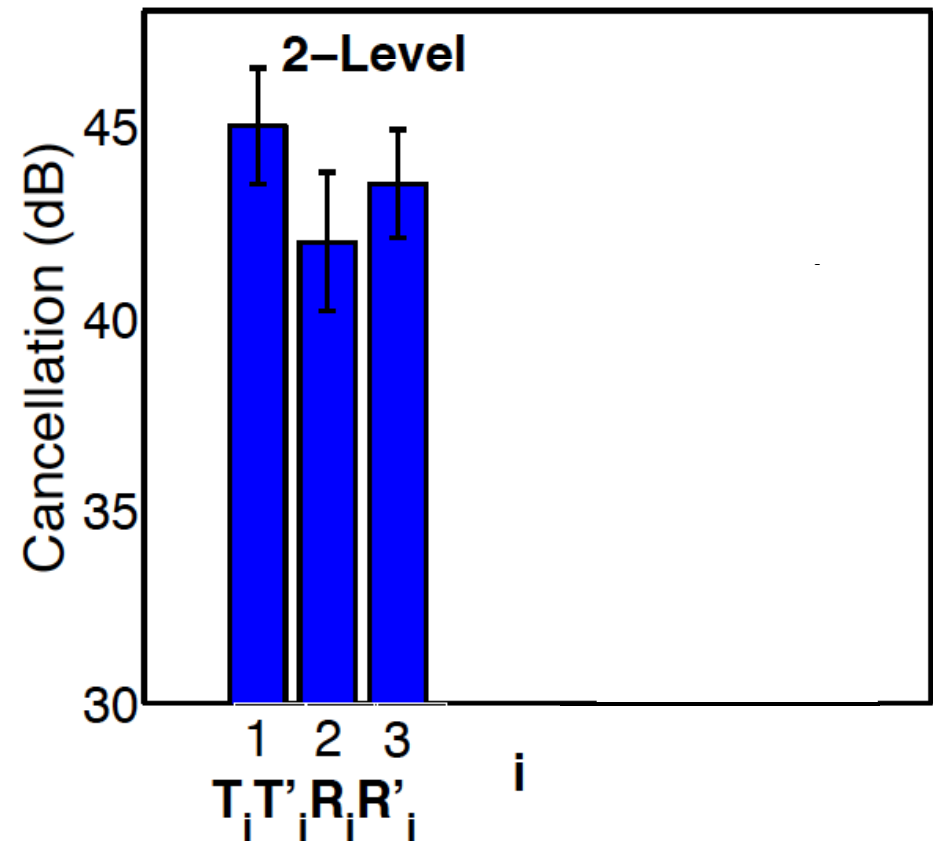


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- **Phase shifter on each path** to handle insertion loss and delay



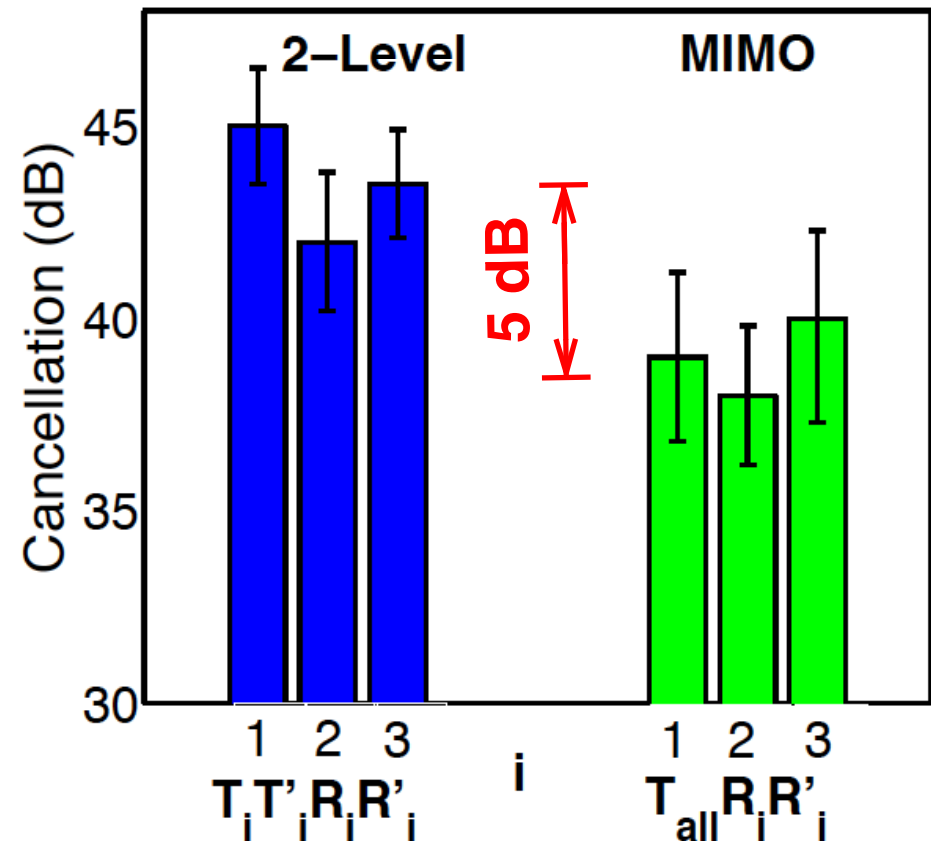


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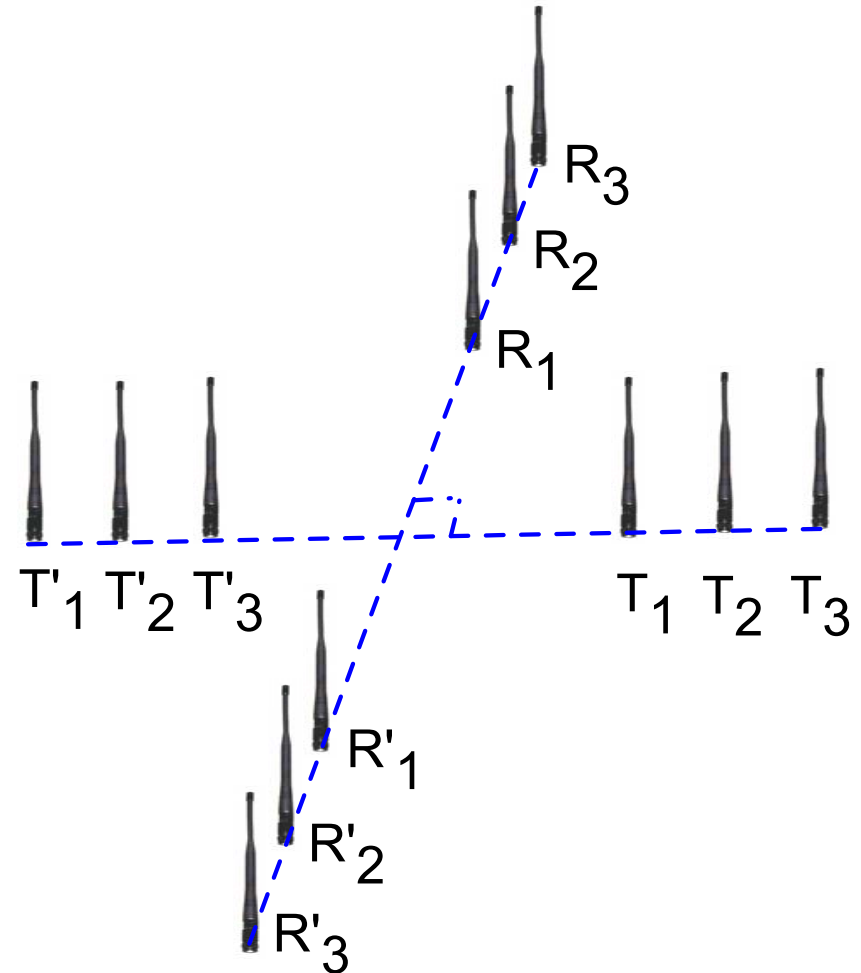
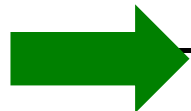
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- **Phase shifter on each path** to handle insertion loss and delay
- RX cancellation on top of TX cancellation is **additive**
- **4 dB decrease in cancellation for the first added pair, 5 dB with 3 total pairs**



NEC Experimental Evaluations



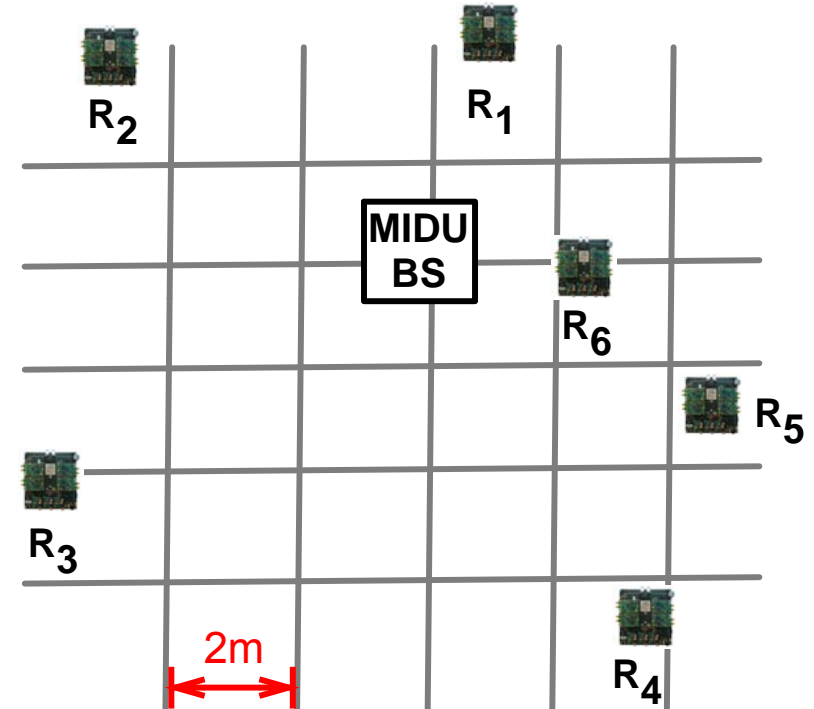
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NEC Comparison with MIMO



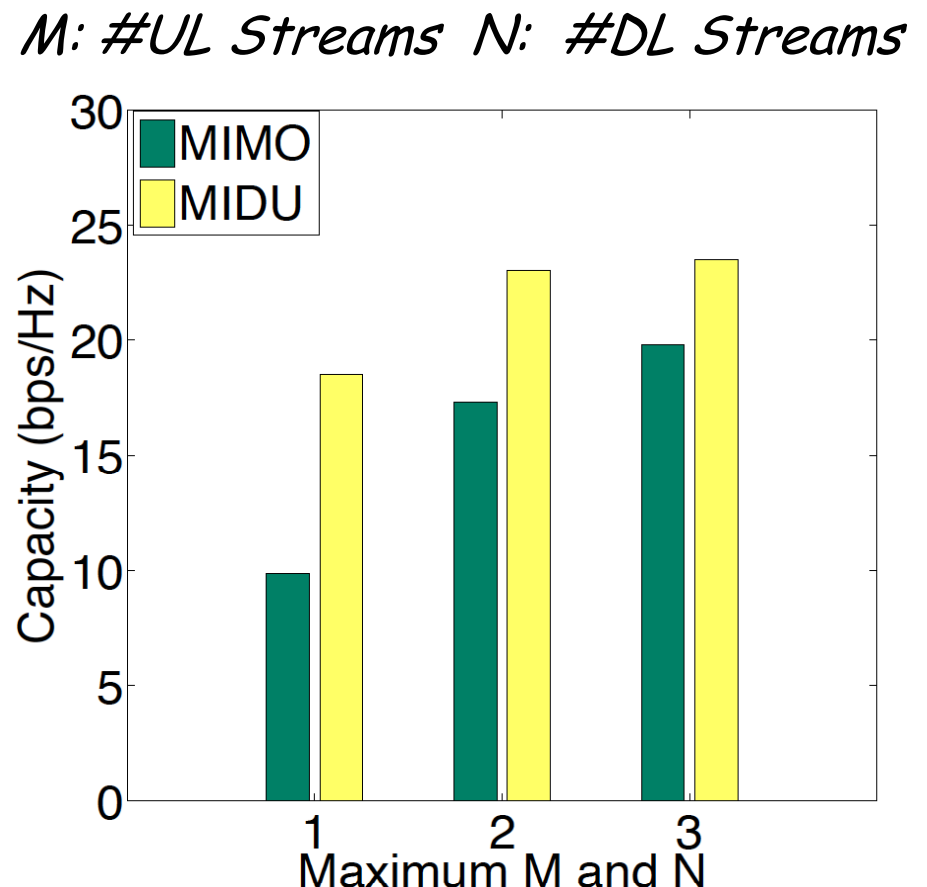
- Compare MIDU to MU-MIMO
 - RF-Chain conserved model
 - Multi-user beamforming/filtering for MU-MIMO in each direction
 - UL → DL interference in MIDU
- Metric: Shannon capacity of the measured SNR



NEC Comparison with MIMO



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 - UL → DL interference in MIDU
- Full duplex gains diminish as the number of streams is scaled

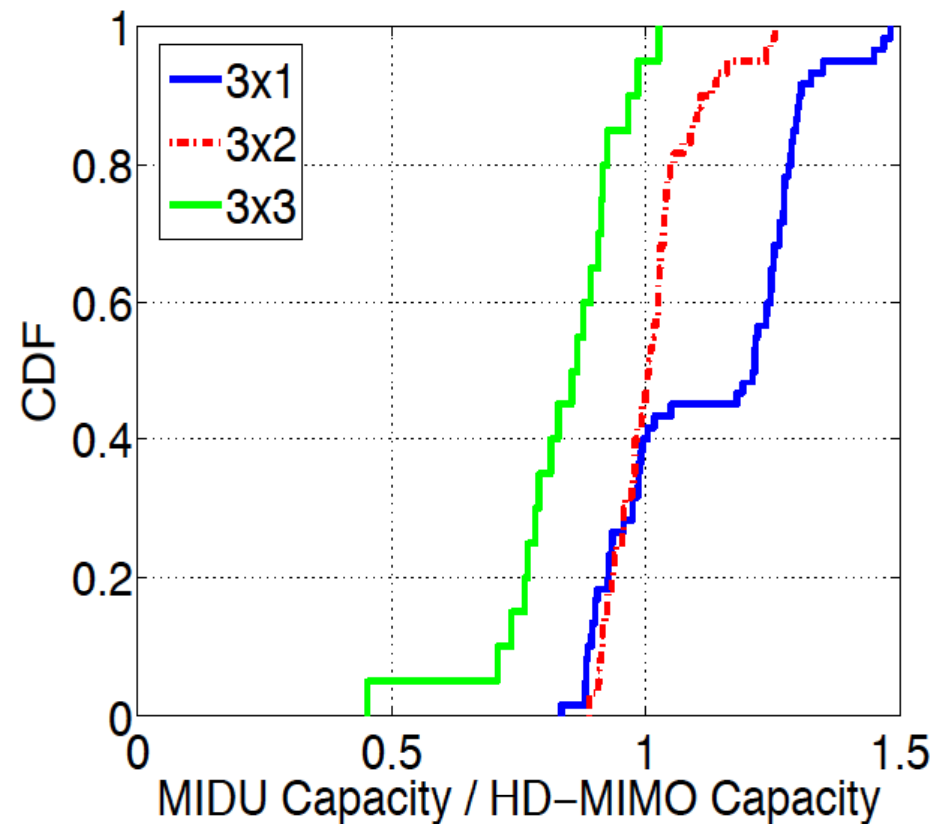


NEC Comparison with MIMO



- Compare MIDU to MU-MIMO
 - RF-Chain conserved model
 - Multi-user beamforming/filtering for MU-MIMO in each direction
 - UL → DL interference in MIDU
- Full duplex gains diminish as the number of streams is scaled
- For maximum full duplex gains, the number of streams between UL and DL should be dis-proportionate

3 DL Streams Var UL Streams

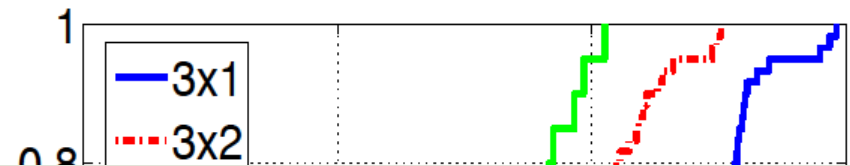


NEC Comparison with MIMO



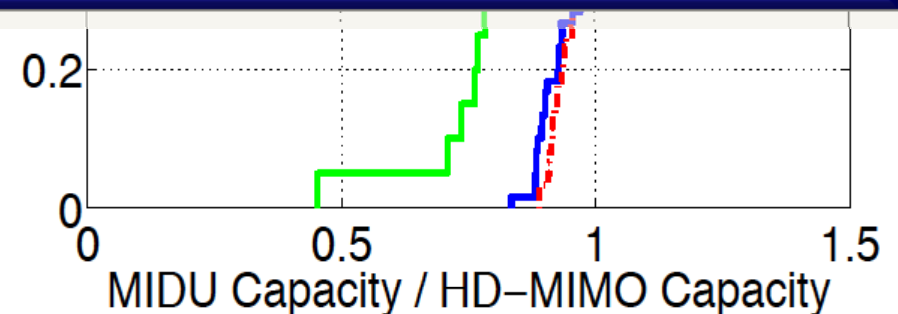
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3 DL Streams Var UL Streams



Full duplex has great potential in practical single cell MU-MIMO schemes in which the number of UL streams is small

- For maximum full duplex gains, the **number of streams** between UL and DL should be **dis-proportionate**





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- Is it possible to enable FD in legacy LTE systems?
 - What changes are required?
 - Handset sides and network (base-station) side
 - New hardware?
 - New firmware?
 - Change in standard?

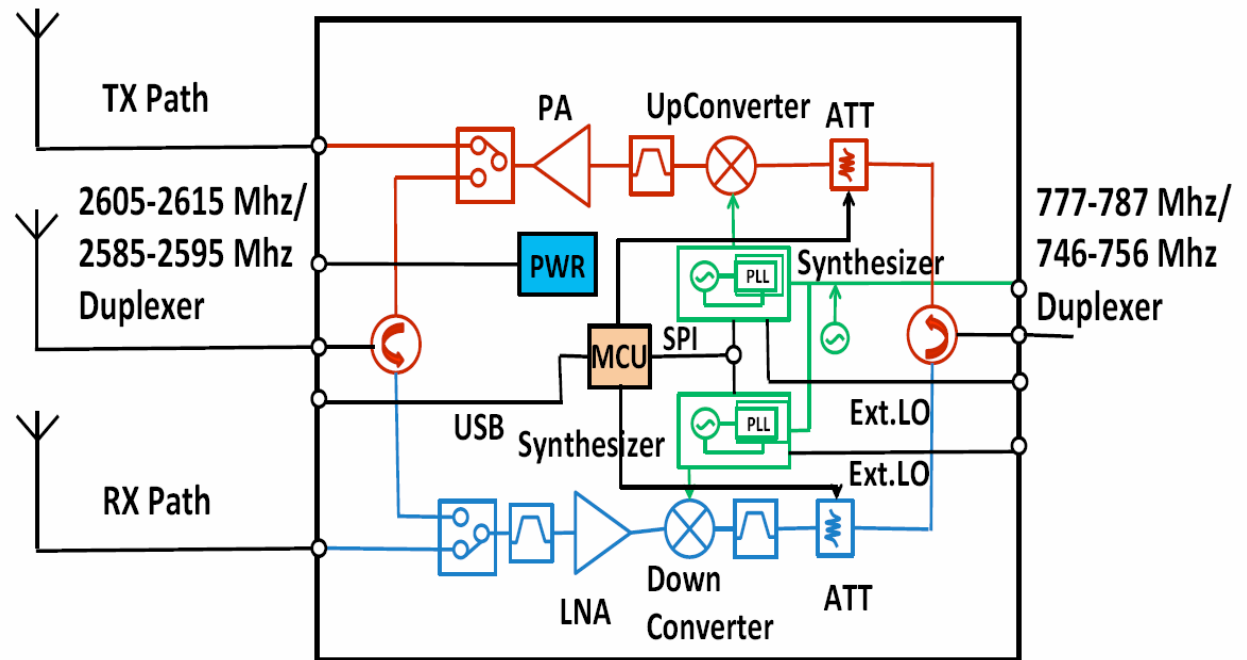


-
- SI cancellation
 - Is analog cancellation sufficient?
 - What range/data rate could be achieved?
 - Passive cancellation or need for Active cancellation?
 - Integration with legacy BS equipment
 - Can we keep the BS hardware and/or firmware unchanged?
 - Handling multiple frequency bands
 - Transparency to half-duplex client

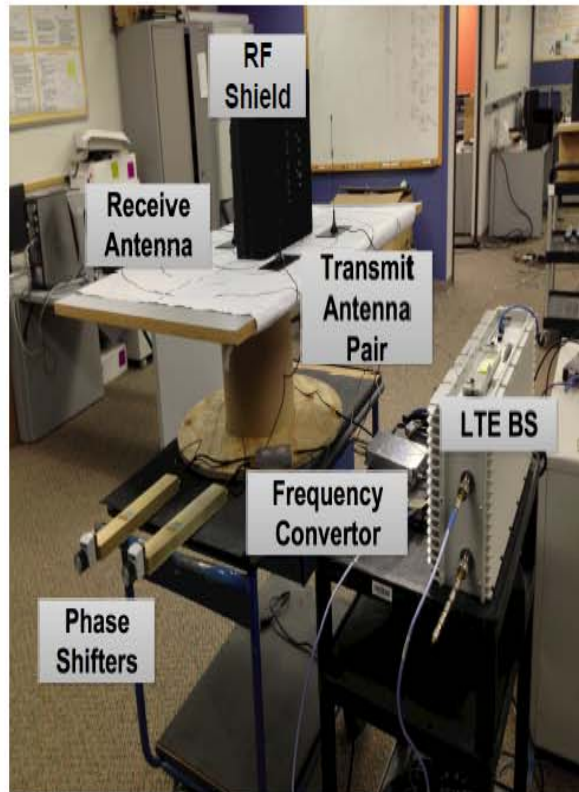
NEC Frequency Converter Circuit



- We use the following circuit to enable FD without modifying basestation, user equipment or standards
- A circuit has to be used in the basestation as well as a complementary circuit at the user equipment



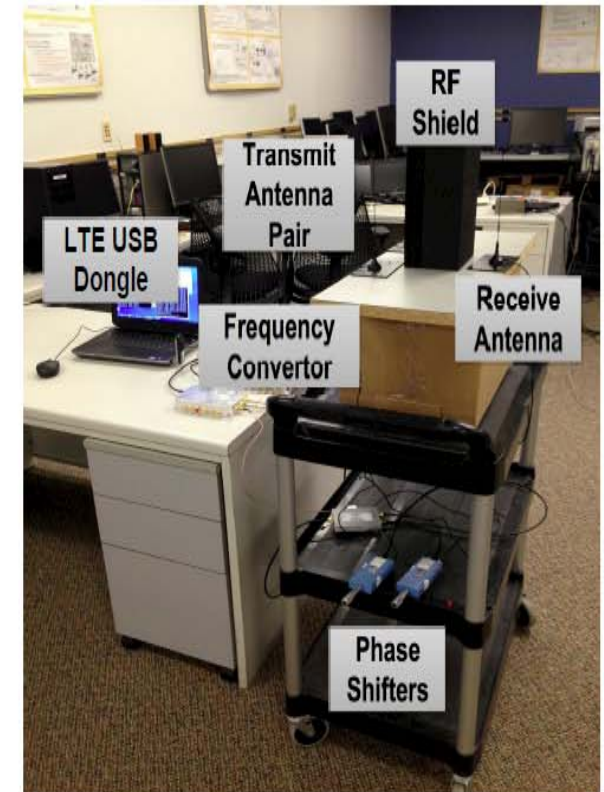
NEC Full Duplex LTE Testbed



b) FD LTE base station



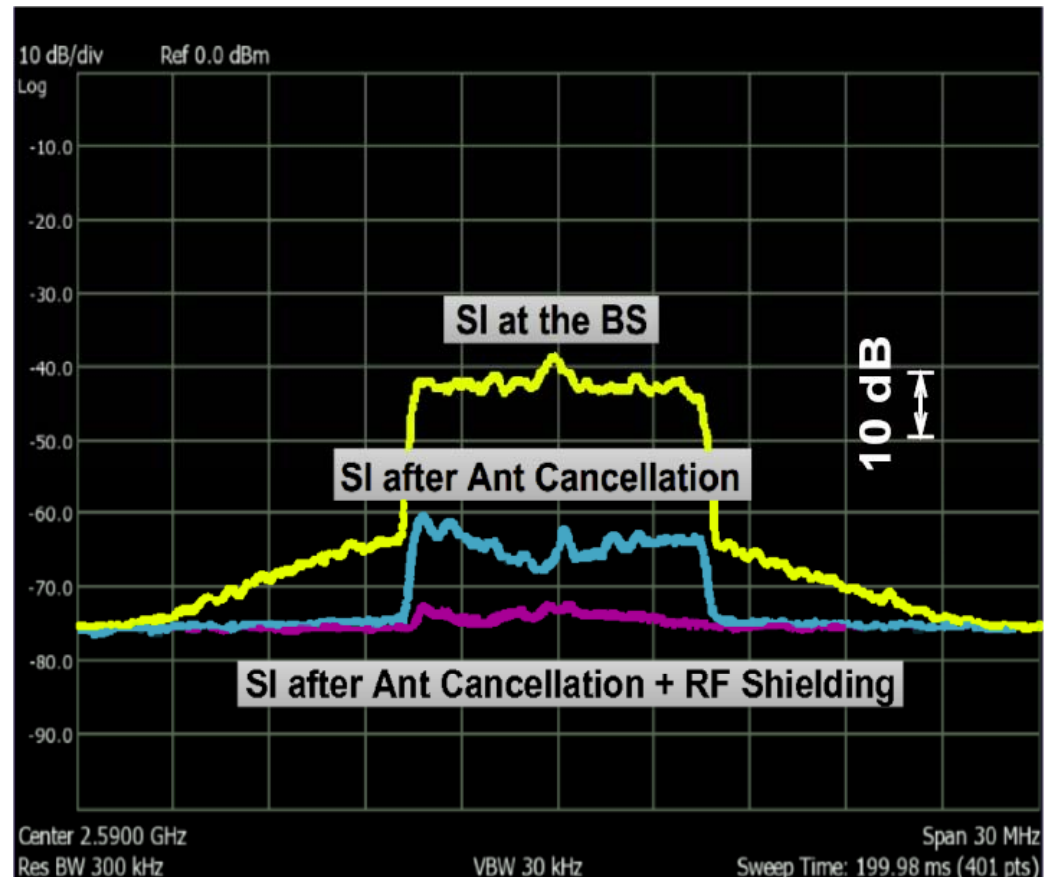
a) LTE EPC network



c) FD LTE client

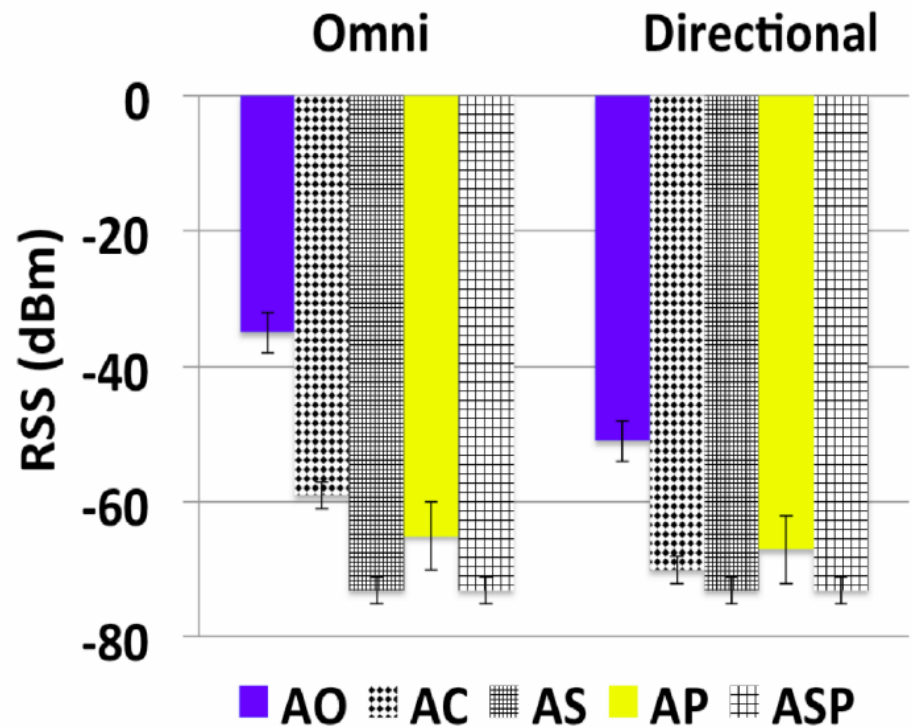


- SI power without any cancellation -42dBm
- SI after antenna cancellation -64dBm
- SI after antenna cancellation and using antenna shielding -73dBm





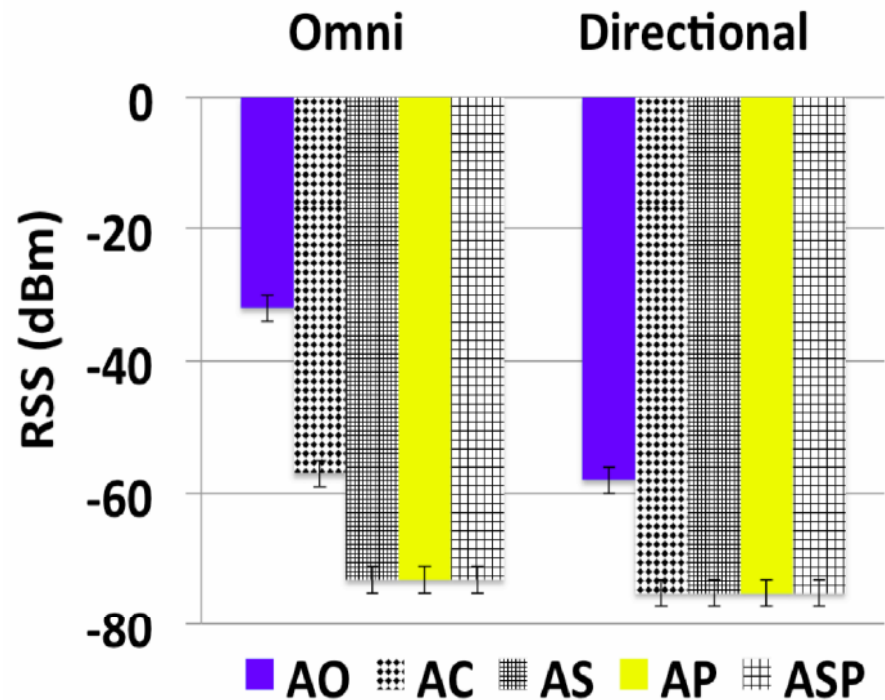
- AO: antenna separation only
- AC: antenna cancellation
- AS: antenna cancellation plus antenna shielding
- AP: antenna cancellation plus polarization
- ASP: antenna cancellation plus polarization plus shielding



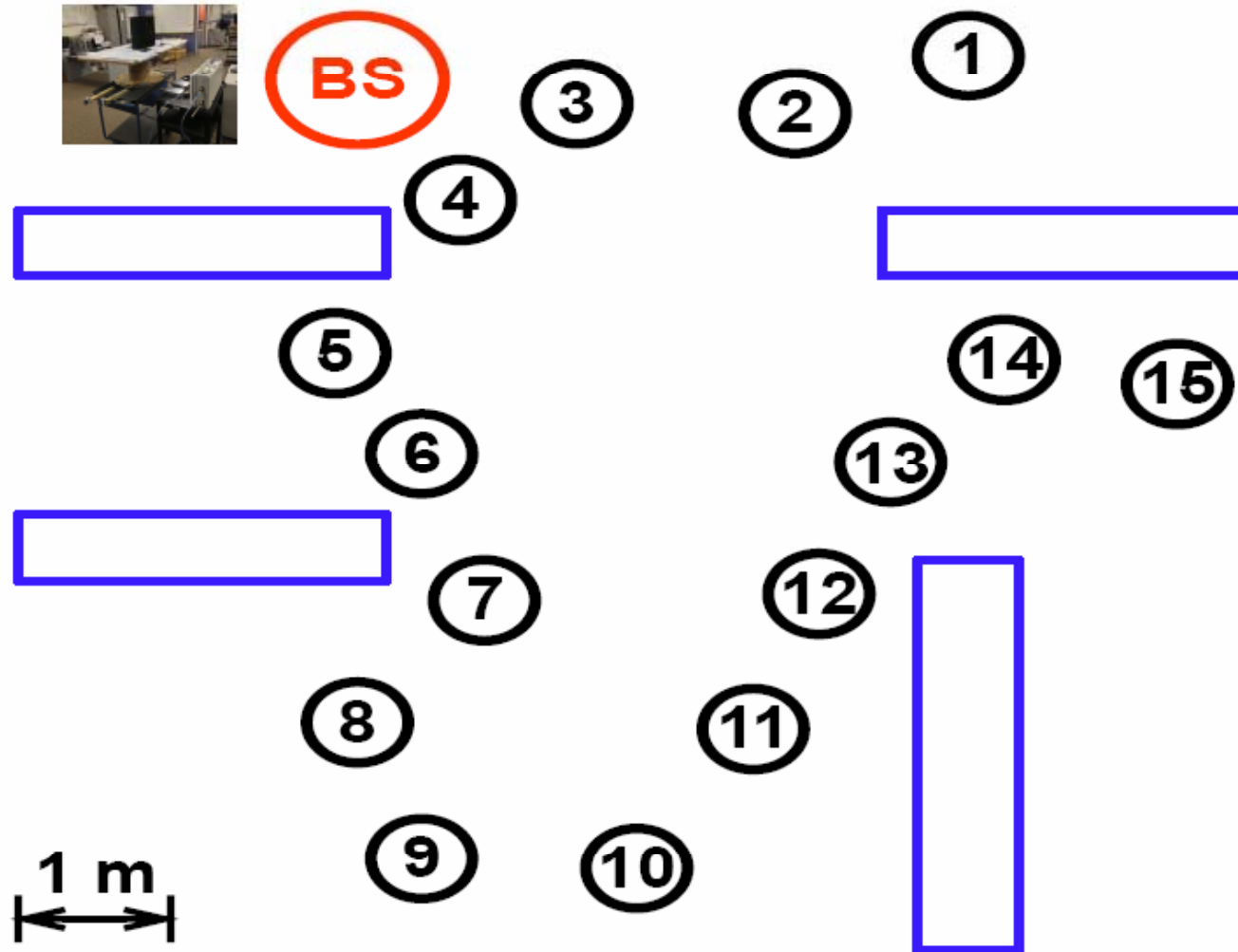
Outdoor SI Cancellation Evaluation



- AO: antenna separation only
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- AP: antenna cancellation plus polarization
- ASP: antenna cancellation plus polarization plus shielding

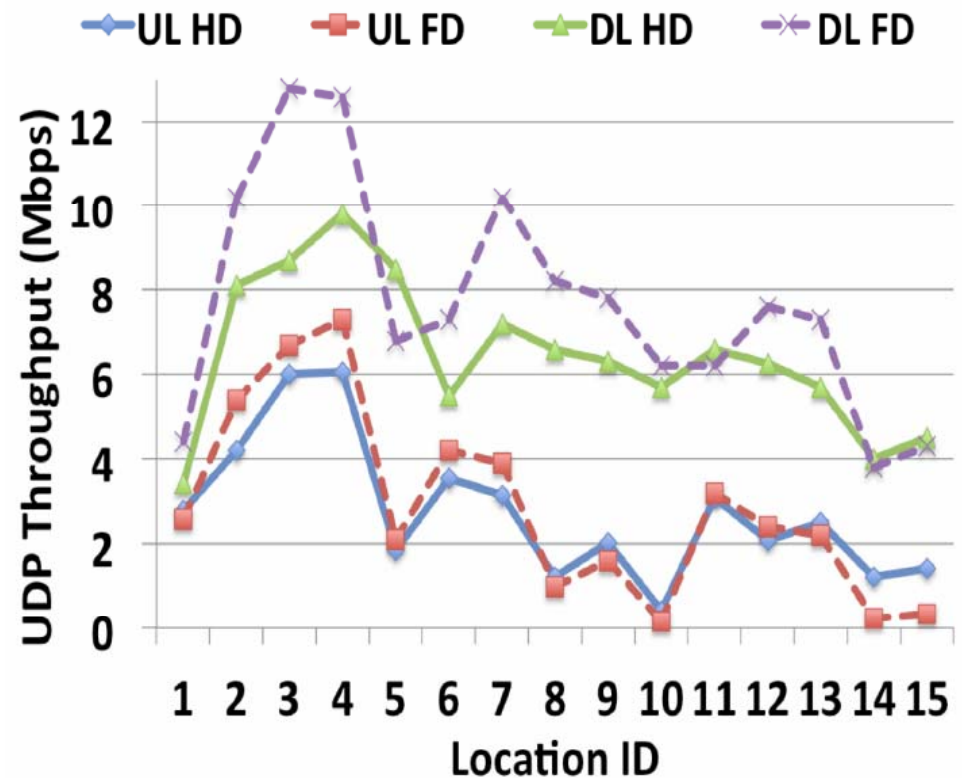


Experiment Layout





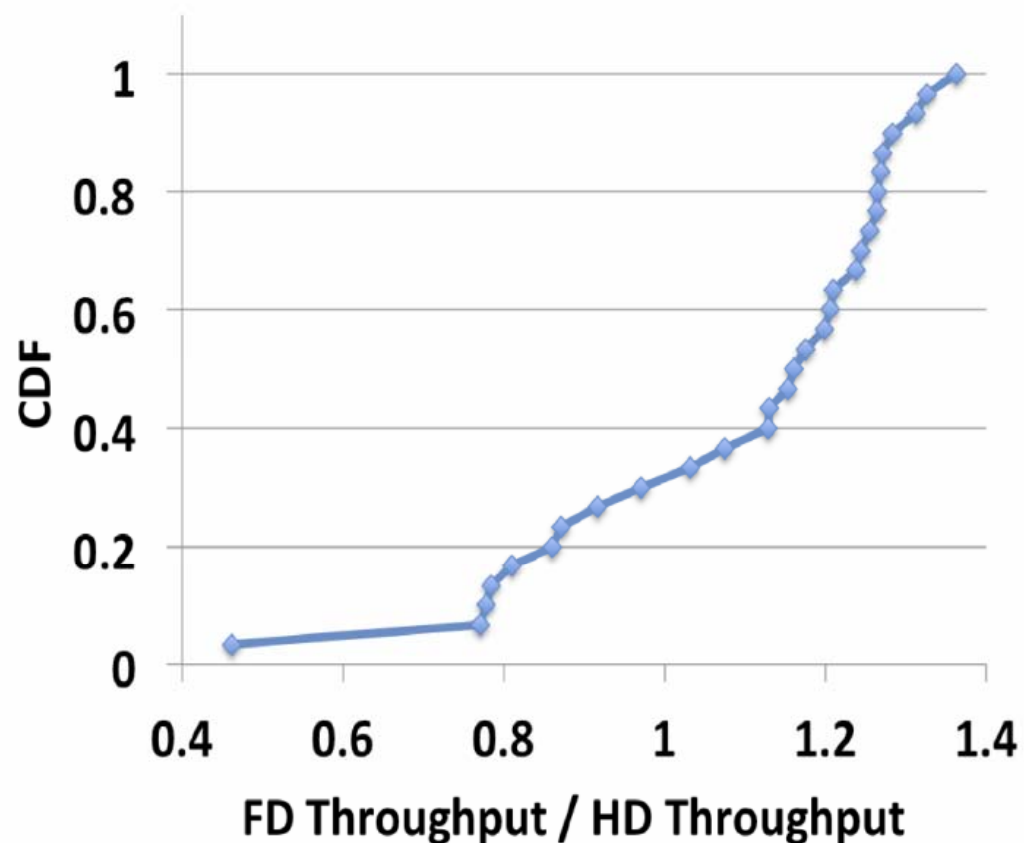
- DL FD outperforms DL HD for almost all locations
- UL FD outperforms UL HD for about 60% locations with an average gain of 23%



CDF of Total FD (UL+DL) to Total HD Throughput



- In about 65% of the locations FD has gain over HD
- In about 40% of the locations the gain is between 20%-40%





-
- Designed and implemented MIDU, the first MIMO full duplex wireless system
 - Enabled two stages of antenna cancellation with additive gains that provided as high as 45 dB self-interference cancellation
 - Built a prototype of MIDU with joint operation of 3x3 MIMO + Full Duplex in practice
 - Implementation using Legacy-LTE basestation and possibility to use half-duplex clients