

A decorative graphic consisting of a series of small, white, pixelated squares arranged in a curved, arrow-like shape pointing to the right.

# Heterogeneous Networks 3G and 4G

**Durga Malladi**  
**May 2012**

# Agenda

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- Introduction
- Heterogeneous Networks
- Performance
- What's next

A white, pixelated arrow icon pointing to the right, composed of small squares.

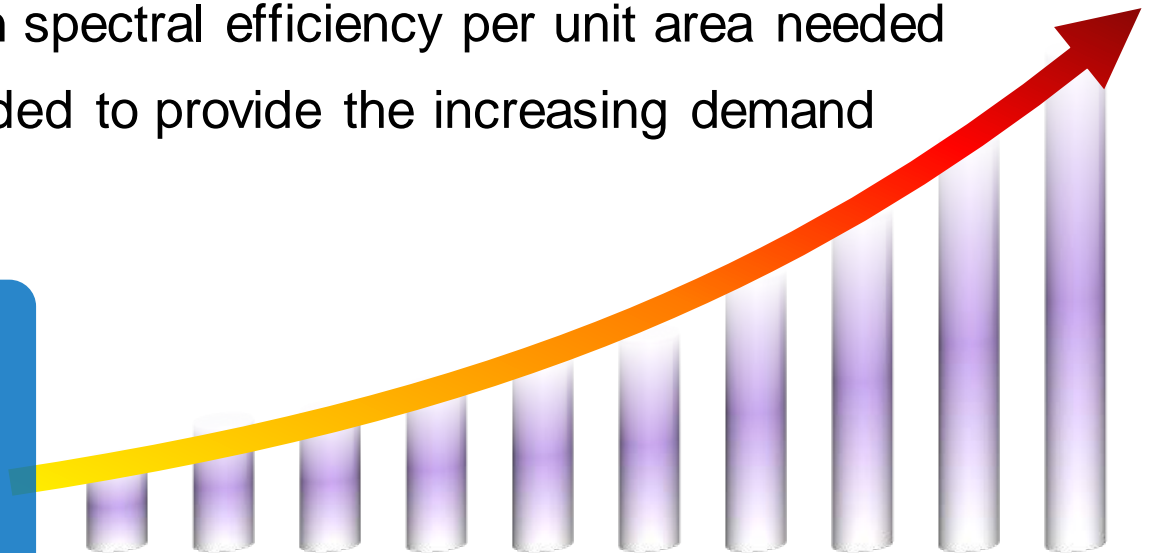
# Introduction

# Mobile Data Demand Growth

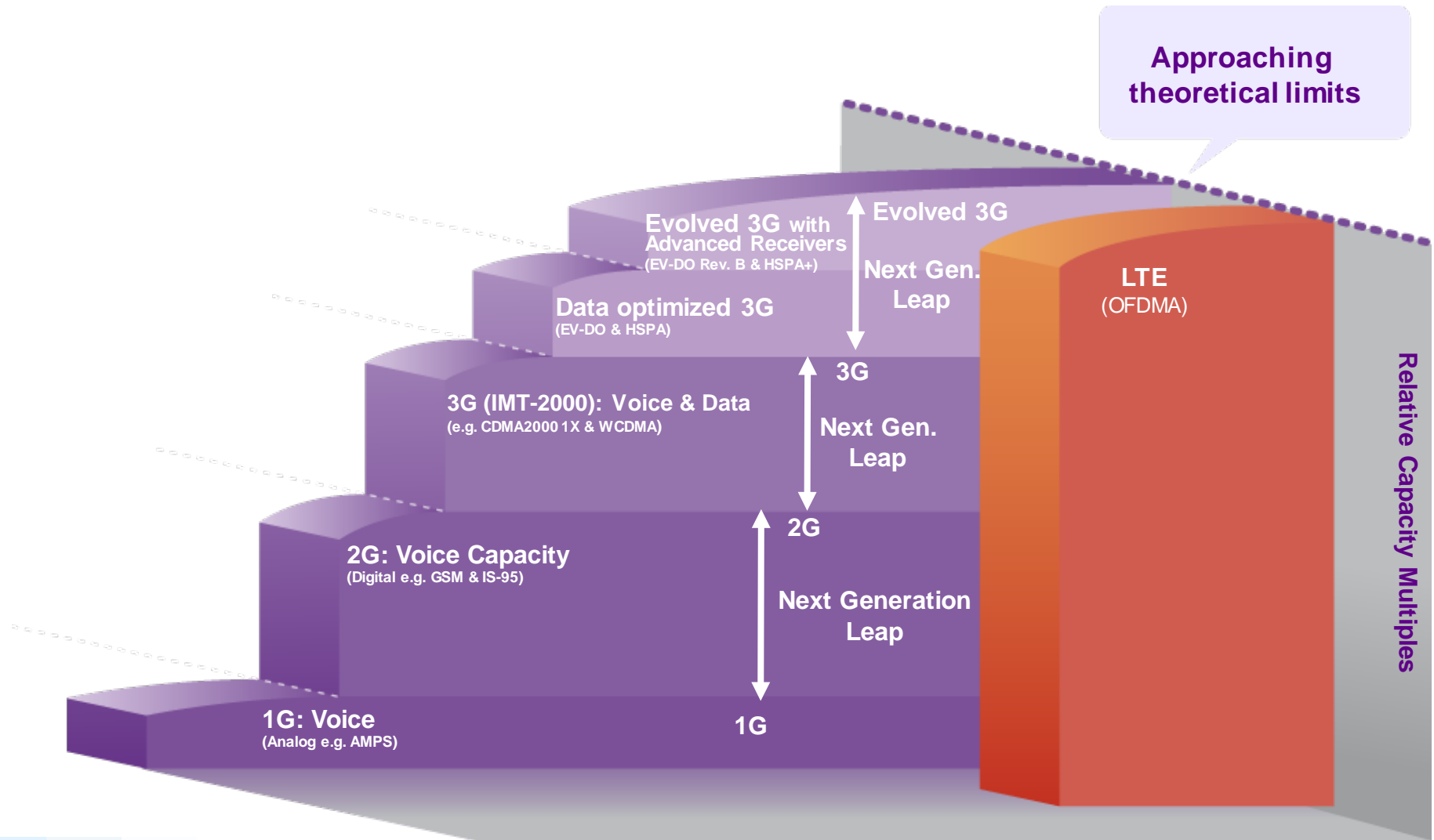
- Operators face increasing demand for mobile network data capacity
  - Adoption of smart phones and plethora of devices continue to drive traffic growth
    - Users increasingly spending more time on the network
- Spectrum is often limited in many markets
  - Dramatic increase in spectral efficiency per unit area needed
  - New topologies needed to provide the increasing demand

By 2014, monthly worldwide mobile data traffic will exceed the total for all of 2008

—ABI Research, August 2009



# Radio Link Improvement



# Multiple Dimensions for Growth

Higher  
bps

Spectrum

Higher Capacity  
Higher Peak Rates

Carrier Aggregation  
Multiple Bands

Higher  
bps/Hz

Antennas

Higher Peak Rates

8x8 DL MIMO  
4x4 UL MIMO

MU-MIMO

Higher  
bps/Hz/km<sup>2</sup>

Network  
Topology

Higher Capacity

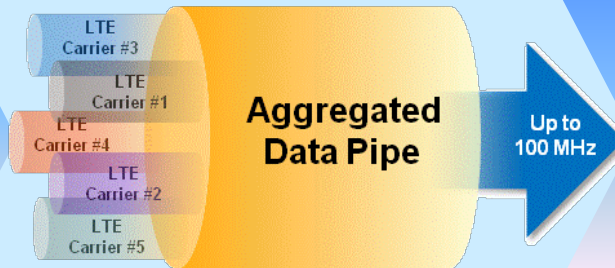
Pico cells  
Femto cells  
Remote Radio Heads  
Relays

Optimized utilization  
of resources

# Another View

## More Bandwidth

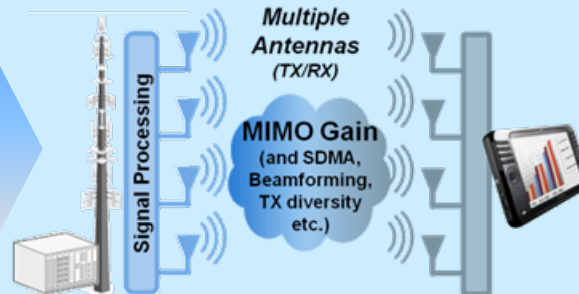
Carrier aggregation across multiple carriers and multiple bands



Primarily higher data rates  
(bps)

## More Antennas

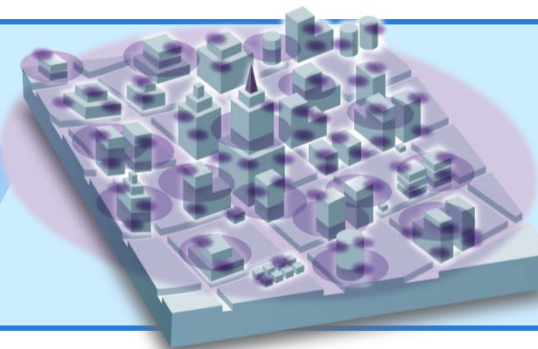
Downlink MIMO up to 8x8, enhanced MU-MIMO and uplink MIMO up to 4x4



Higher spectral efficiency  
(bps/Hz)

## Dense Network

Coexistence of high power (macro) and low power (pico, femto, relay, remote radio head) nodes



Higher spectral efficiency per coverage area  
(bps/Hz/km<sup>2</sup>)

A wide, horizontal orange bar with a subtle grid pattern, serving as a background for the title text.

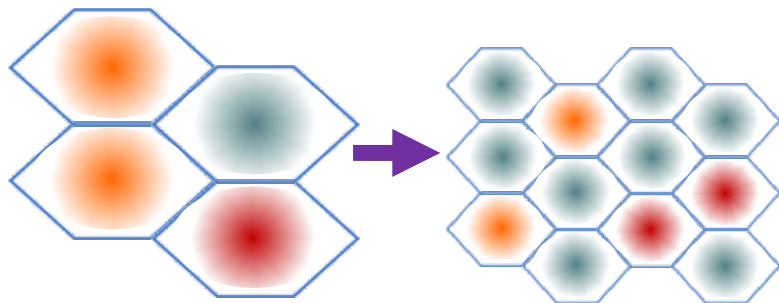
# Heterogeneous Networks 4G Overview



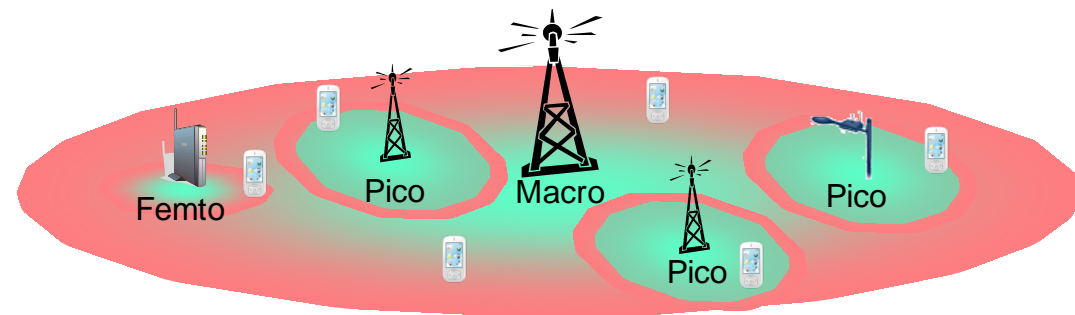
# Conventional Cell Splitting

- Macro cell splitting
  - Site acquisition constraints
- Small cells
  - Flexible ad-hoc deployment
  - Co-channel deployment with macro cells

Cell Splitting



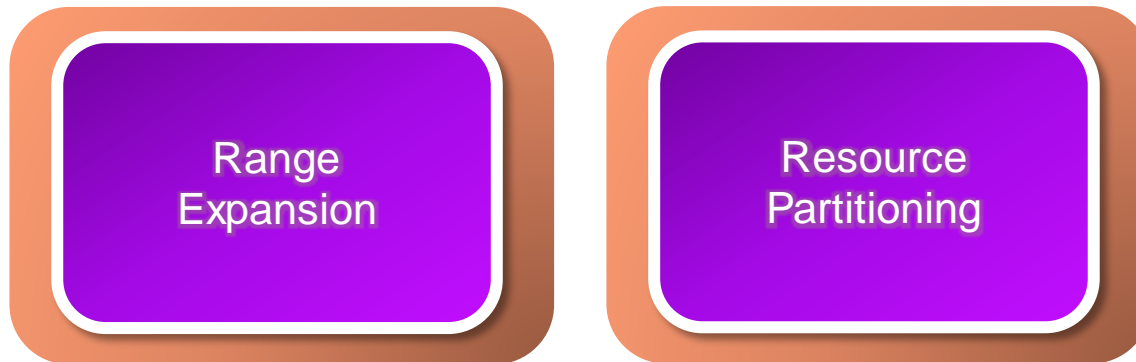
Heterogeneous Network



# UE Association Metric

- Typically a UE is served by the cell with strongest SINR
  - With co-channel small cells, strongest SINR metric is not efficient
  - Large disparity in transmit power between macro and pico cells
    - Macro cell (46 dBm), Pico cell (30 dBm)
    - Results in shrunken coverage/range of small cells
    - Equal pathloss  $\Leftrightarrow$  Pico cell C/I = -16 dB
- Need techniques to enable cell range expansion

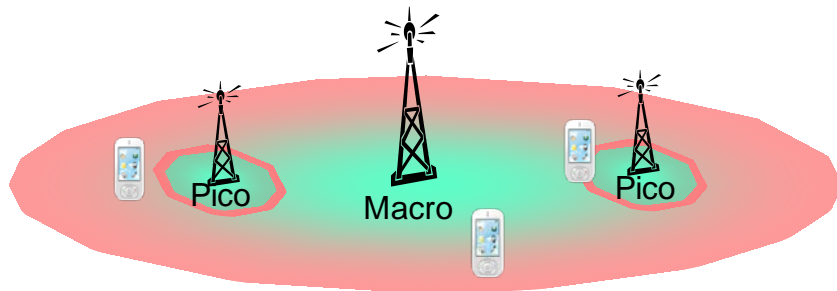
## Key Features for Pico Cell Range Expansion



# Pico Cell Range Expansion (CRE)

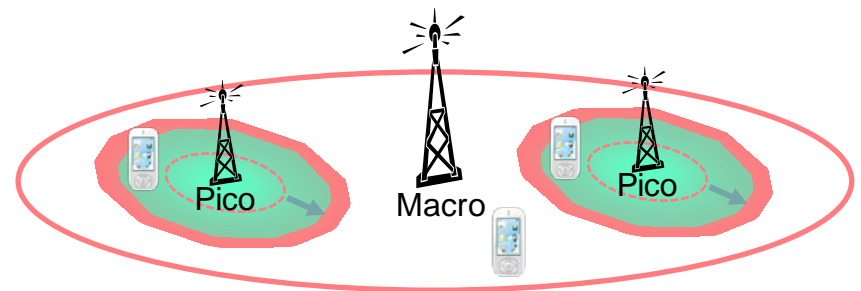
- Large Bias Operation
  - Intentionally allow UEs to camp on weak (DL) pico cells
    - RSRP = Reference signal received power (dBm)
    - Pico (serving) cell RSRP + **Bias** = Macro (interfering) cell RSRP
- TDM subframe partitioning between macro/pico cells
  - In reserved subframes, macro cell does not transmit any data
  - Reserved subframes  $\Leftrightarrow$  Almost Blank Subframes (**ABS**)

## In subframes reserved for Macro Cells



Limited footprint of Pico due to Macro signal

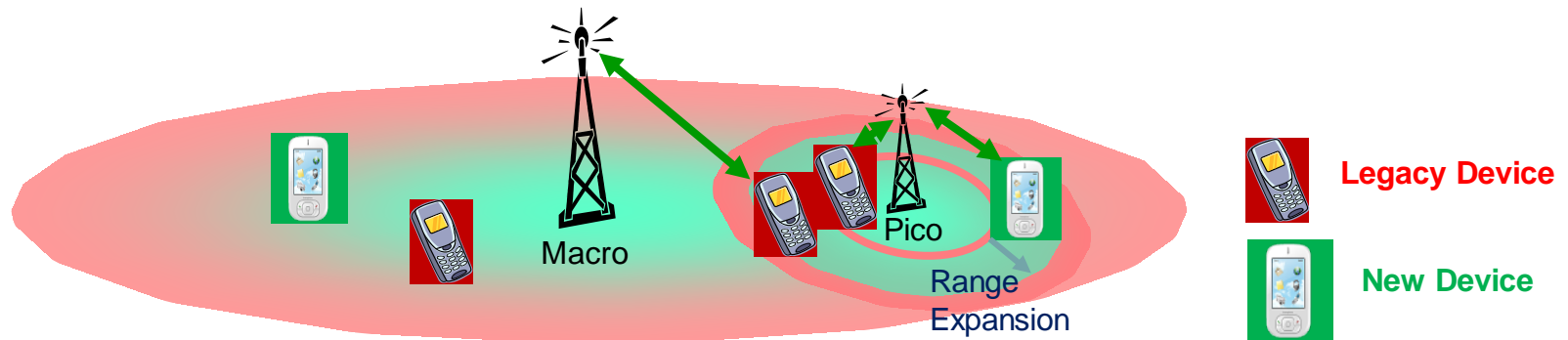
## In subframes reserved for Pico Cells



Increased footprint of Pico when Macro frees up resource

# Almost Blank Subframes (ABS)

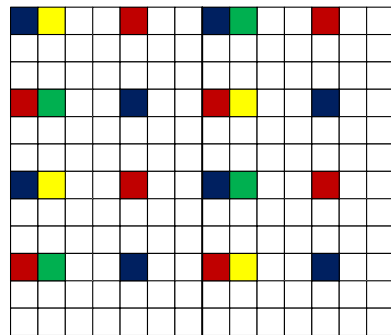
- Macro cell behavior in ABS
  - Signals transmitted
    - Common reference, sync and primary broadcast
  - Signals not transmitted
    - User specific traffic (data and control)
- Co-existence of legacy and new devices in pico CRE zone
  - Legacy devices served by macro cells
  - New devices served by pico cells



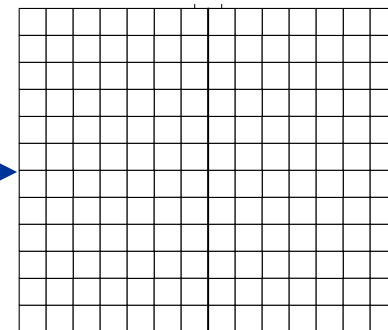
# Residual Interference in Macro ABS

- Enhanced receivers perform interference suppression of residual signals transmitted by macro cells
  - Incl. common reference signals and sync signals

ABS from Interfering Macro Cell



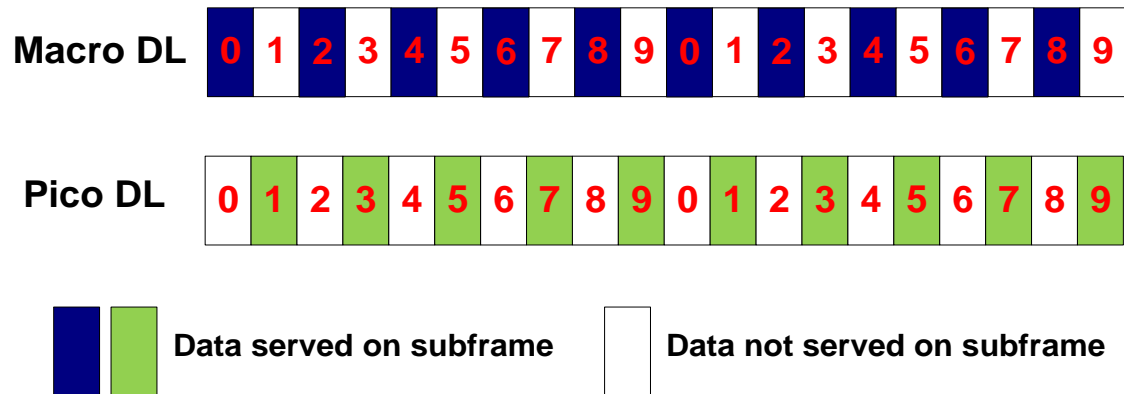
“Blank” Subframe



# Inter-Cell Load Balancing

- Time-Domain partitioning
  - Negotiated between macro and pico cells via backhaul (X2)
  - Macro cell frees up certain subframes (ABS) to minimize interference to a fraction of UEs served by pico cells
    - TDM partitioning granularity = 2.5%
- Reserved subframes used by multiple small cells
  - Increases spatial reuse

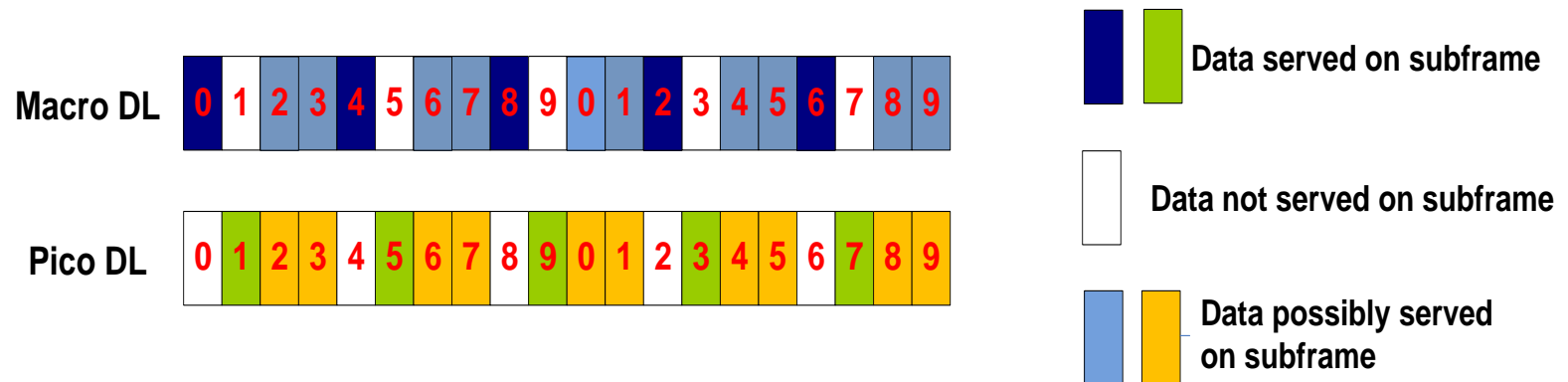
**Example:**  
Semi-static allocation  
50% Macro and  
50% Picos



# Adaptive Time-Domain Partitioning

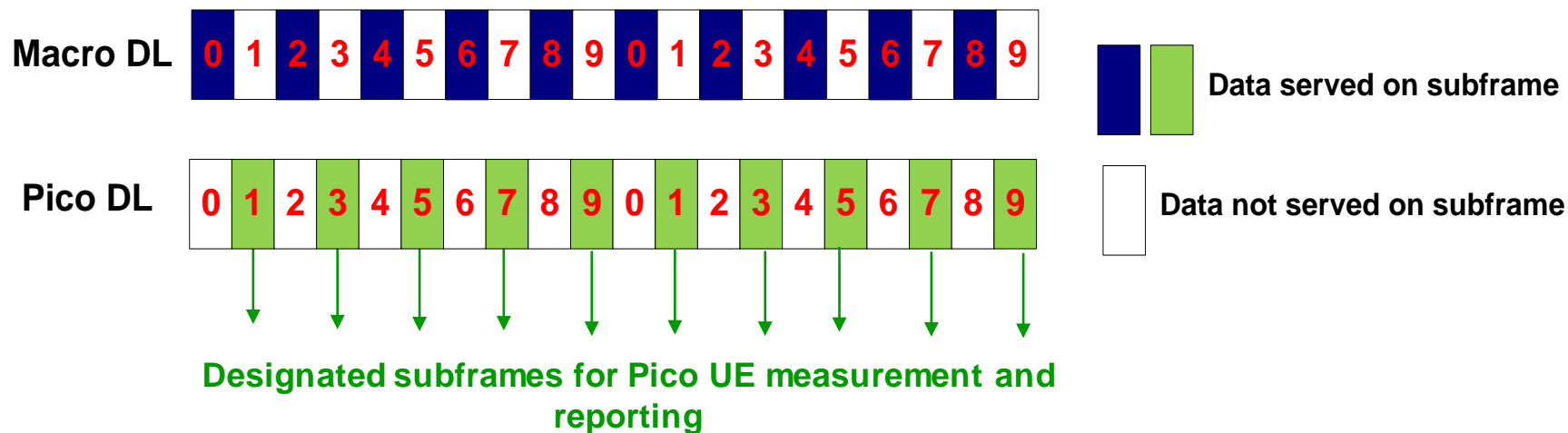
- Load balancing is constantly performed in the network
  - Macro and pico cells negotiate partitioning based on spatial/temporal traffic distribution

Example: 25% each to Macro and Pico Cells; 50% adaptive



# Radio Link Monitoring and CSI Reporting

- RLM
  - Measurements performed on restricted subframes
- CSI reports
  - Devices report multiple CSIs on “clean” and “unclean” subframes

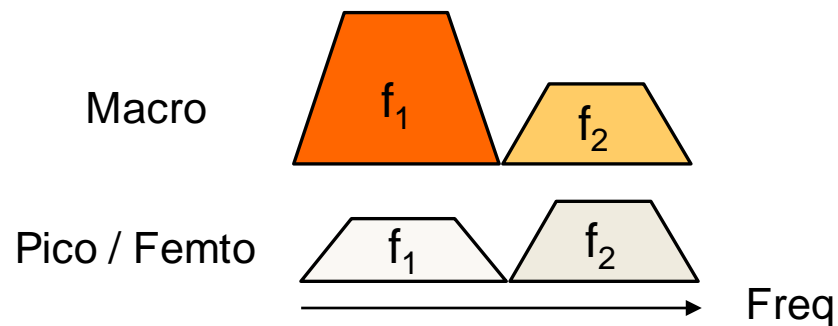




# Frequency Domain Partitioning

- Macro and Pico cells can use separate carriers to avoid strong interference
- Carrier aggregation (CA) allows additional flexibility to manage interference
  - Macro cells transmit at full power on anchor carrier ( $f_1$ ) and lower power on second carrier ( $f_2$ )
  - Pico cells use second carrier ( $f_2$ ) as anchor carrier

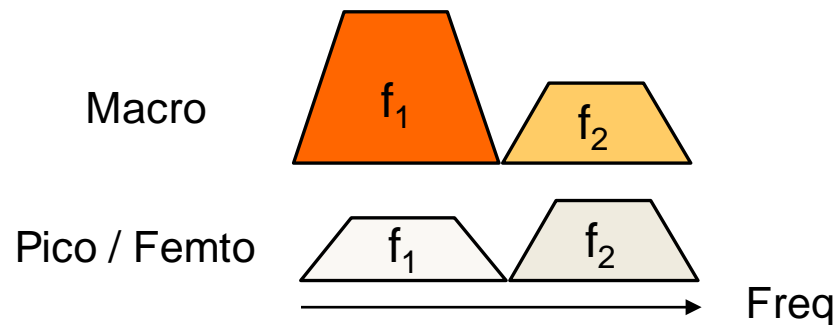
## CA-based Frequency Domain Partitioning



# Frequency Domain Partitioning

- Frequency partitioning
  - Offers less granular resource allocation and lower flexibility
    - Does not scale with pico cell density variation within a macro cell
    - Partitioning ratio limited by number of carriers
  - Does not require network synchronization

## CA-based Frequency Domain Partitioning



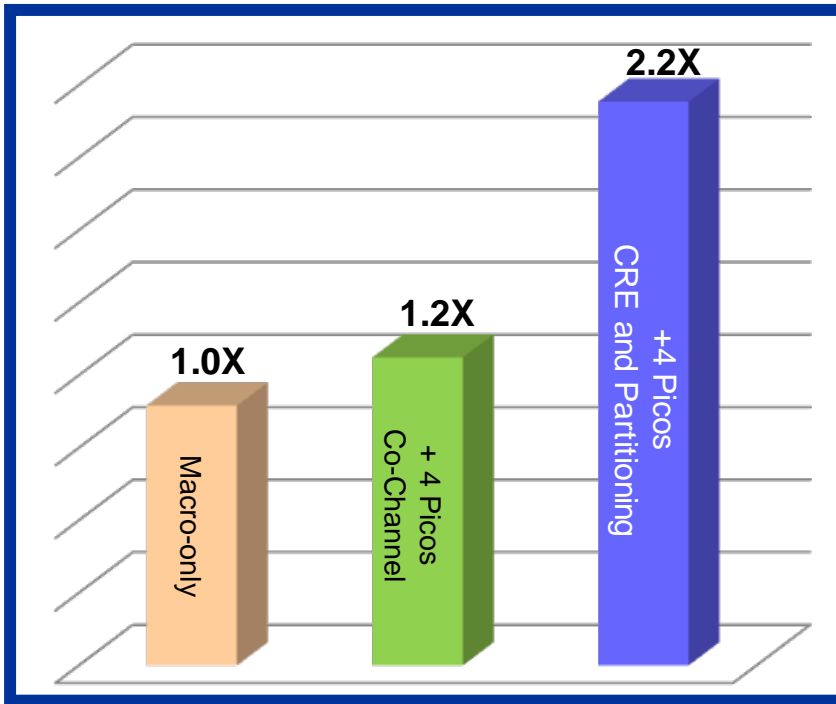
A wide, solid orange horizontal bar that spans the width of the slide, serving as a background for the title text.

# Heterogeneous Networks 4G Performance

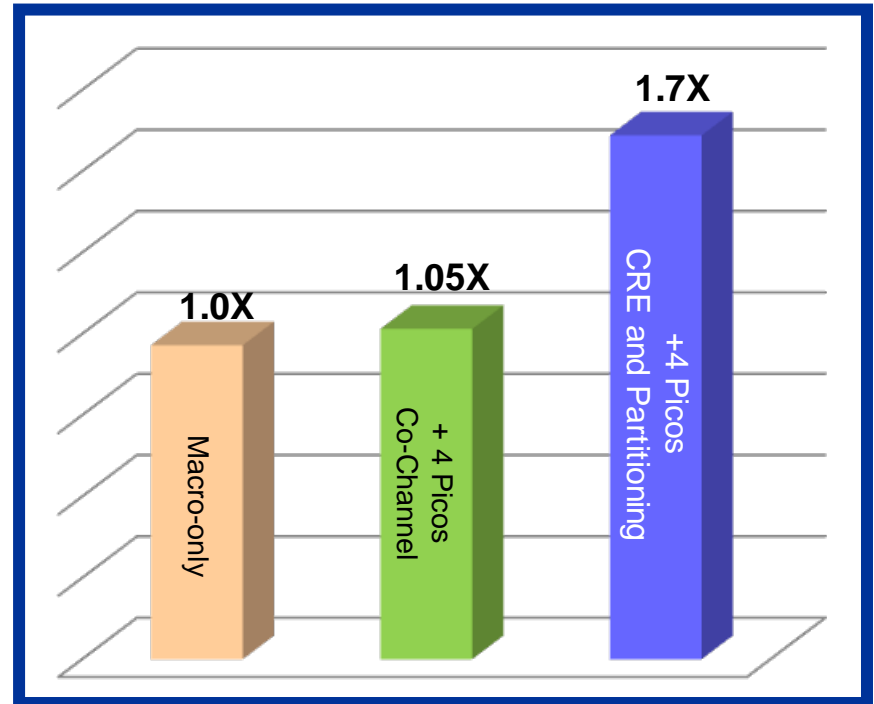
# Downlink – Uniform UE Distribution

500m  
ISD

## DL User Throughput Improvement



Median



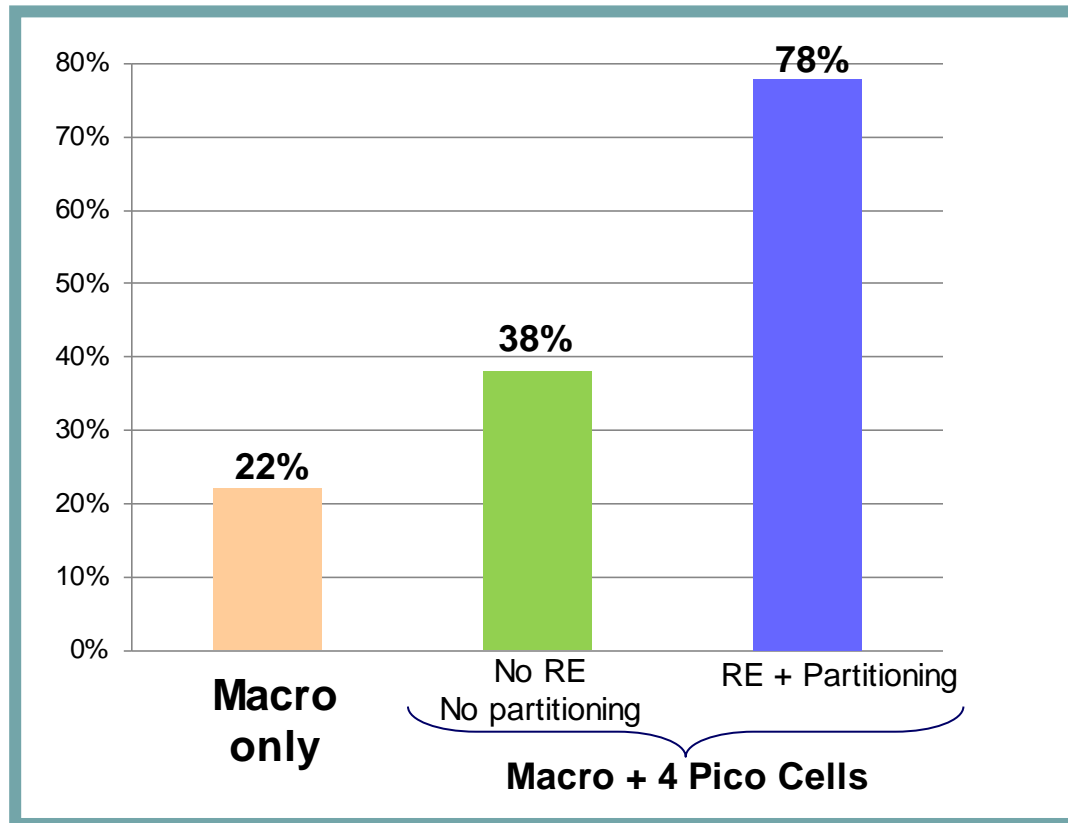
Cell Edge

**Simulation results based on Qualcomm prototype implementation and 3GPP evaluation methodology TR 36.814**

Macro ISD = 500m, 2GHz carrier frequency, full-buffer traffic, 10 degree antenna downtilt, cell edge user is defined as 5 percentile rate user  
4 Picos and 25 UEs per Macro cell, uniform random layout, PF scheduler, 10 MHz FDD, 2x2 MIMO, TU3 channel, NLOS, local partitioning algorithm

# Downlink – Uniform Distribution

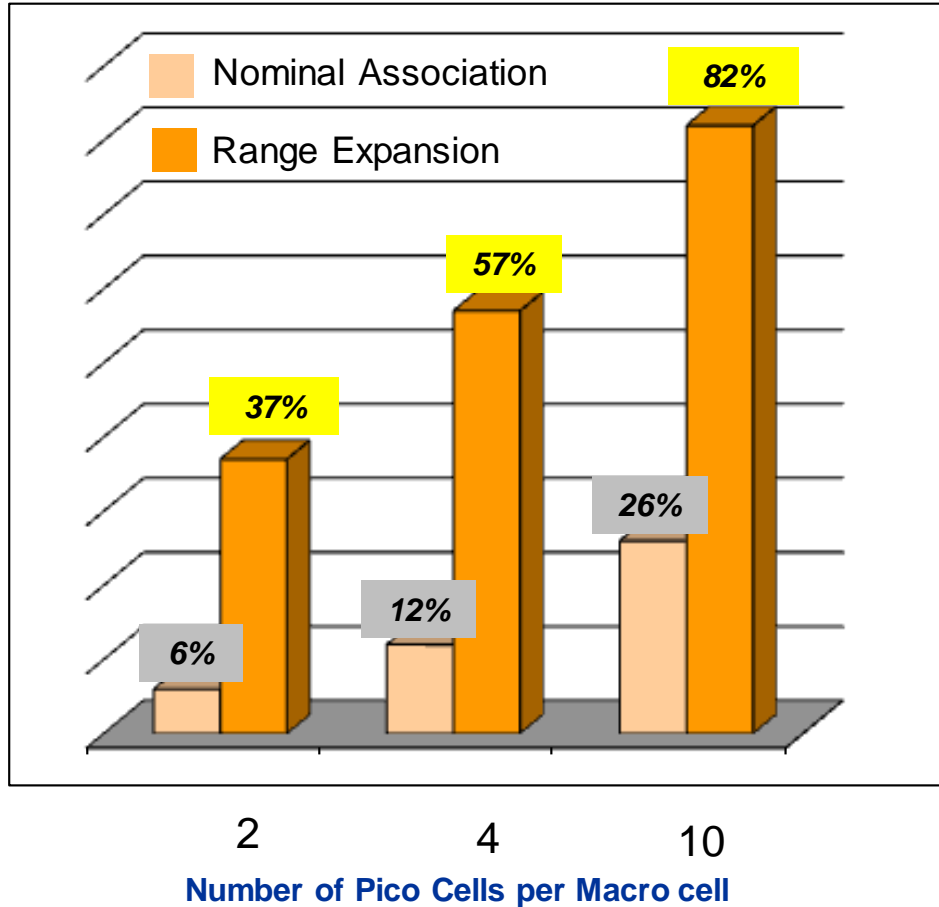
Percentage of users with 1Mbps DL throughput



Results from 3GPP R1-101509, evaluation methodology TR 36.814, Macro ISD=500m, 10 degree Macro antenna downtilt 4 Picos and 25 UEs per Macro cell, uniform random layout, PF scheduler, cell, 10 MHz FDD, 2x2 MIMO, NLOS

# Pico Cell Association Statistics

Percentage of UEs offloaded to Pico Cells



Evaluation methodology  
TR 36.814

Macro ISD=500m  
10 degree Macro  
antenna downtilt

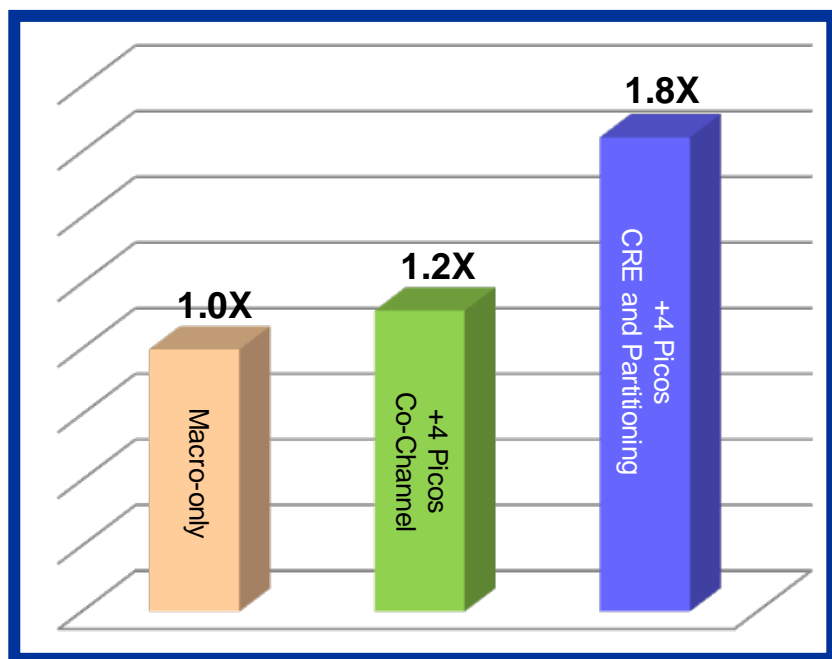
25 UEs per Macro cell,  
uniform random layout,

10 MHz FDD, 2x2 MIMO

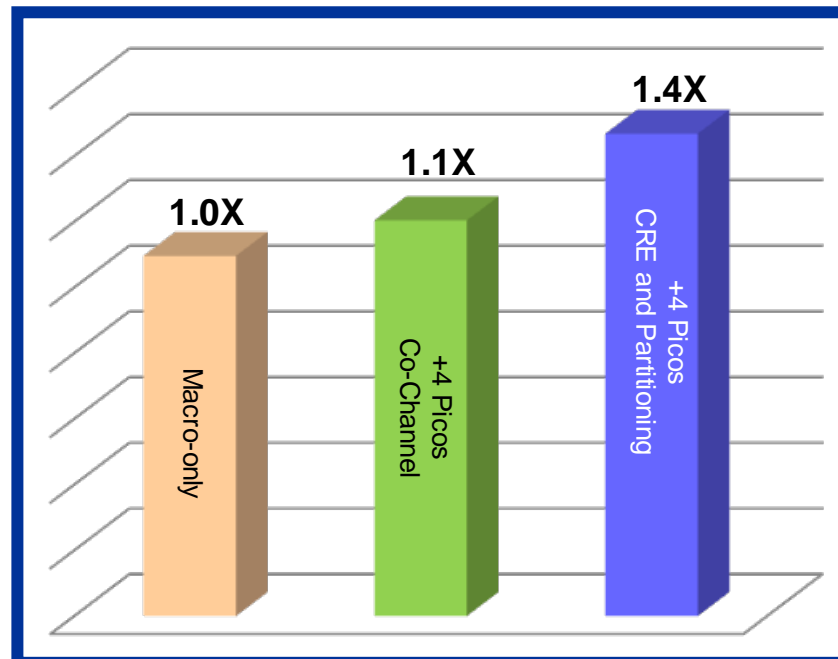
# Uplink – Uniform UE Distribution

## UL User Throughput Improvement

500m  
ISD



Median



Cell Edge

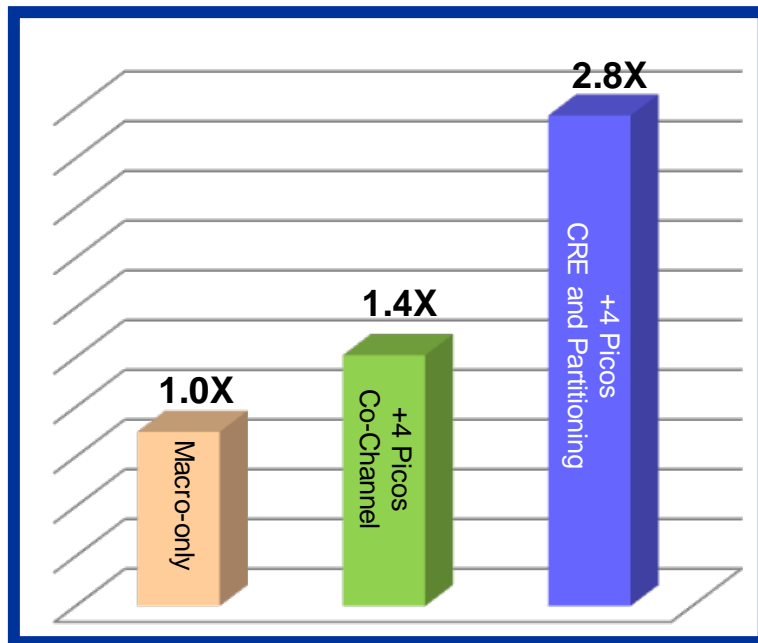
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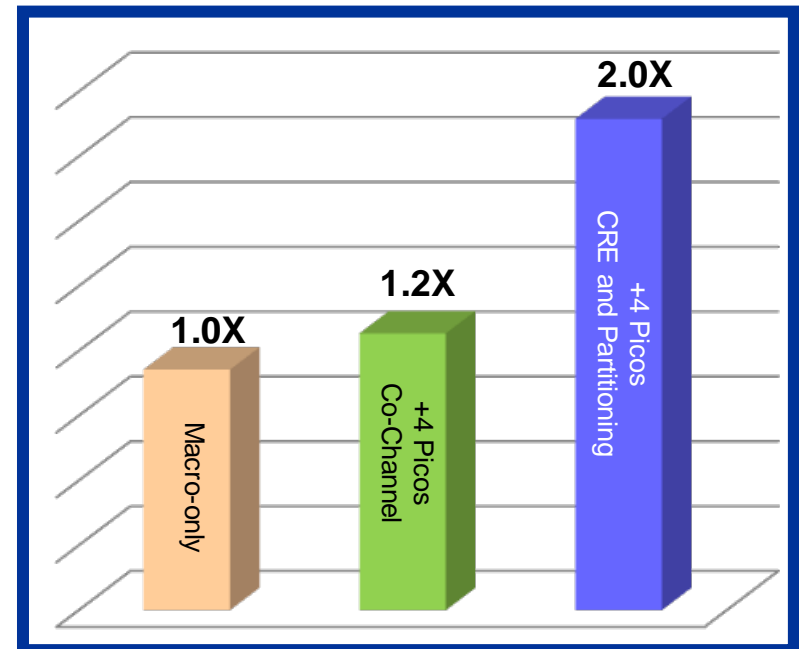
# Downlink – Hotspot Distribution

500m  
ISD

## DL User Throughput Improvement



Median



Cell Edge

**Simulation results based on Qualcomm prototype implementation and 3GPP evaluation methodology TR 36.814**

Macro ISD = 500m, 2GHz carrier frequency, full-buffer traffic, 10 degree antenna downtilt, cell edge user is defined as 5 percentile rate user, local partitioning

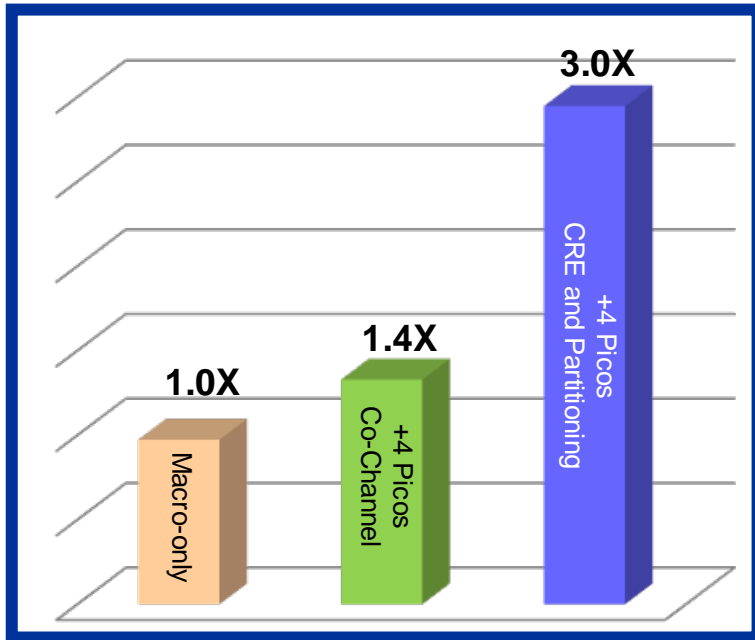
Clustered configuration (4a): 4 Picos / Macro cell, 8 out of 25 UEs are dropped near Picos. PF scheduler, 10 MHz FDD, 2x2 MIMO, TU3 channel, NLOS



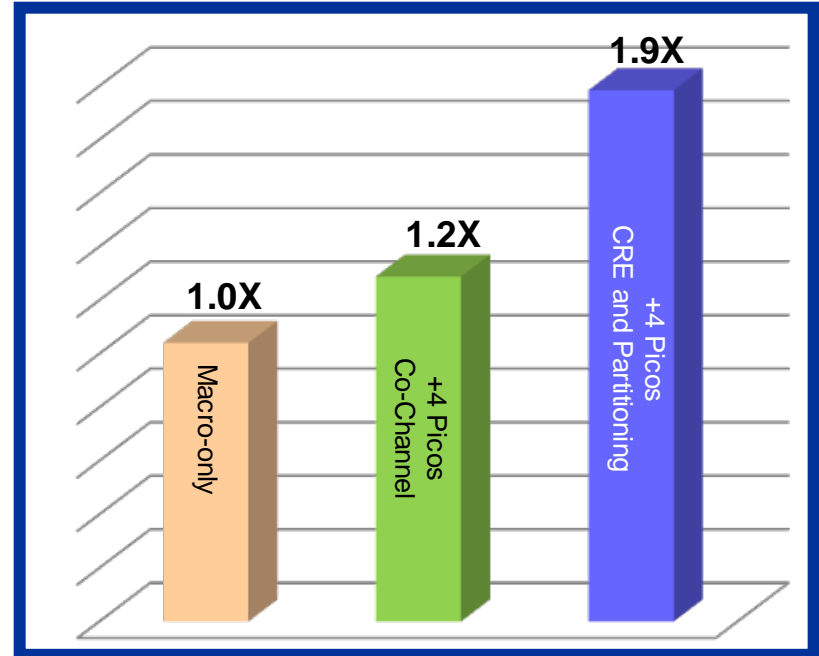
# Uplink – Hotspot Distribution

UL User Throughput Improvement

500m  
ISD



Median



Cell Edge

Simulation results based on Qualcomm prototype implementation and 3GPP evaluation methodology TR 36.814

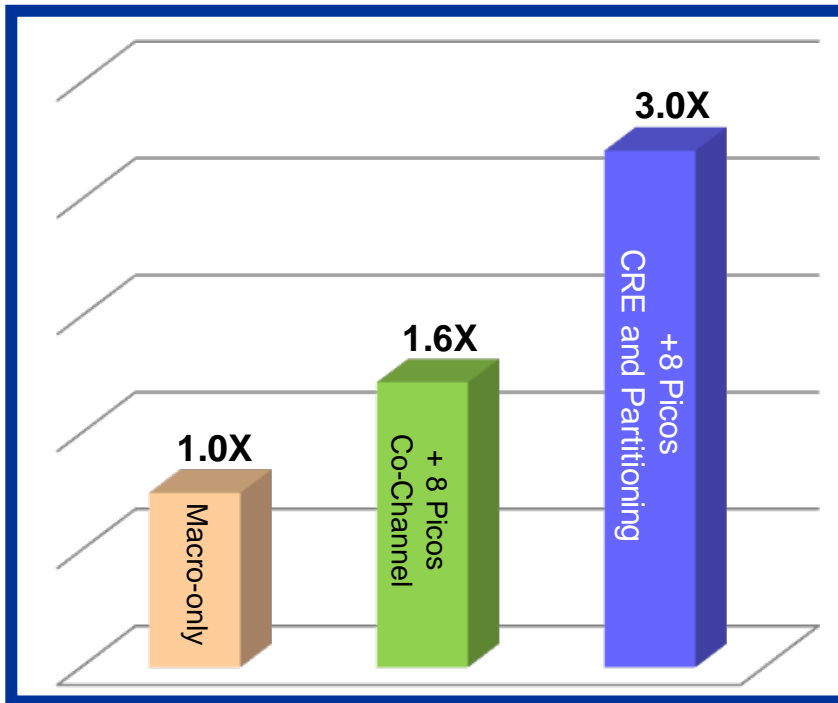
Macro ISD = 500m, 2GHz carrier frequency, full-buffer traffic, 10 degree antenna downtilt, cell edge user is defined as 5 percentile rate user, local partitioning Clustered configuration (4a): 4 Picos / Macro cell, 8 out of 25 UEs are dropped near Picos. PF scheduler, 10 MHz FDD, 2x2 MIMO, TU3 channel, NLOS

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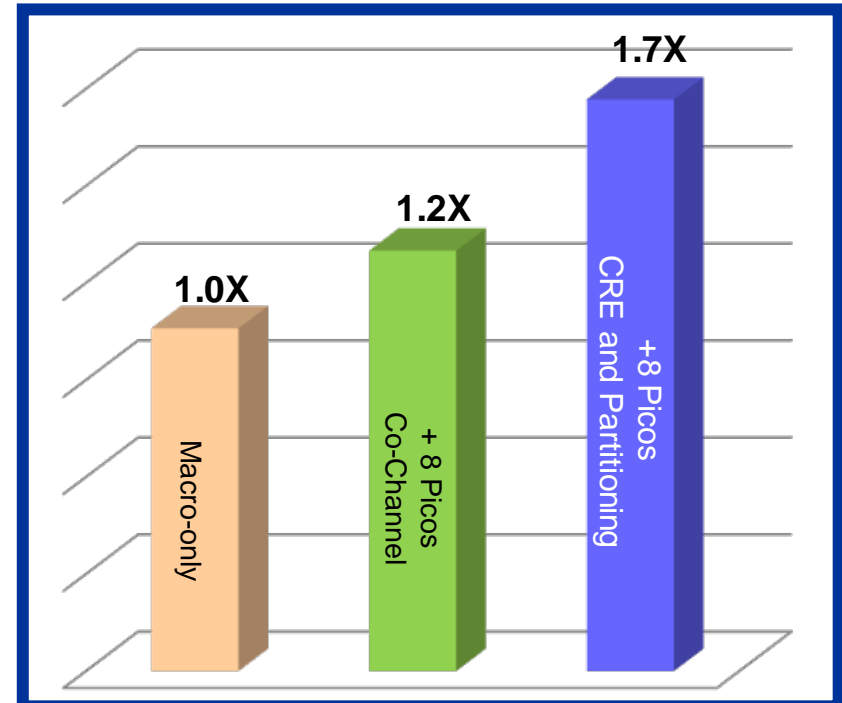
# Downlink – Uniform Distribution

## DL User Throughput Improvement

1732m  
ISD



Median



Cell Edge

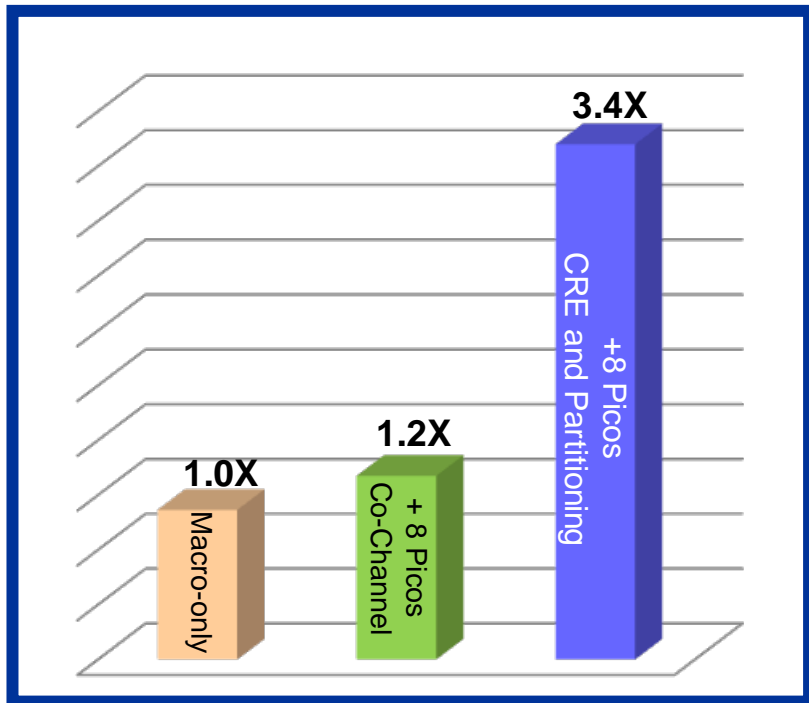
**Simulation results based on Qualcomm prototype implementation and 3GPP evaluation methodology TR 36.814**

Macro ISD = 1.7km, 700MHz carrier frequency, full-buffer traffic, 6 degree antenna downtilt, cell edge user is defined as 5 percentile rate user  
8 Picos and 25 UEs per Macro cell, uniform random layout, PF scheduler, 10 MHz FDD, 2x2 MIMO, TU3 channel, NLOS, local partitioning algorithm

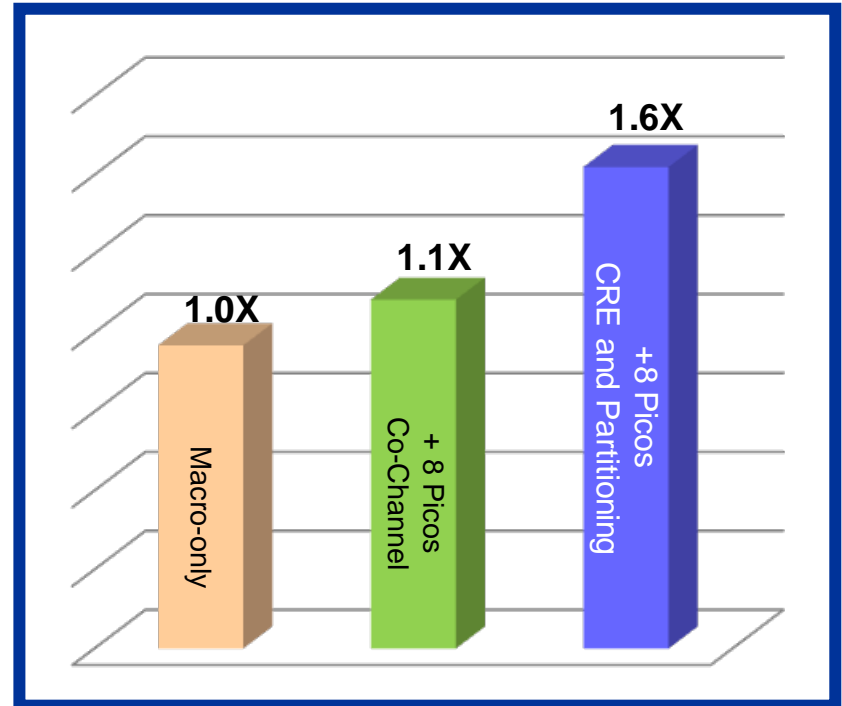
# Uplink – Uniform Distribution

## UL User Throughput Improvement

1732m  
ISD



Median



Cell Edge

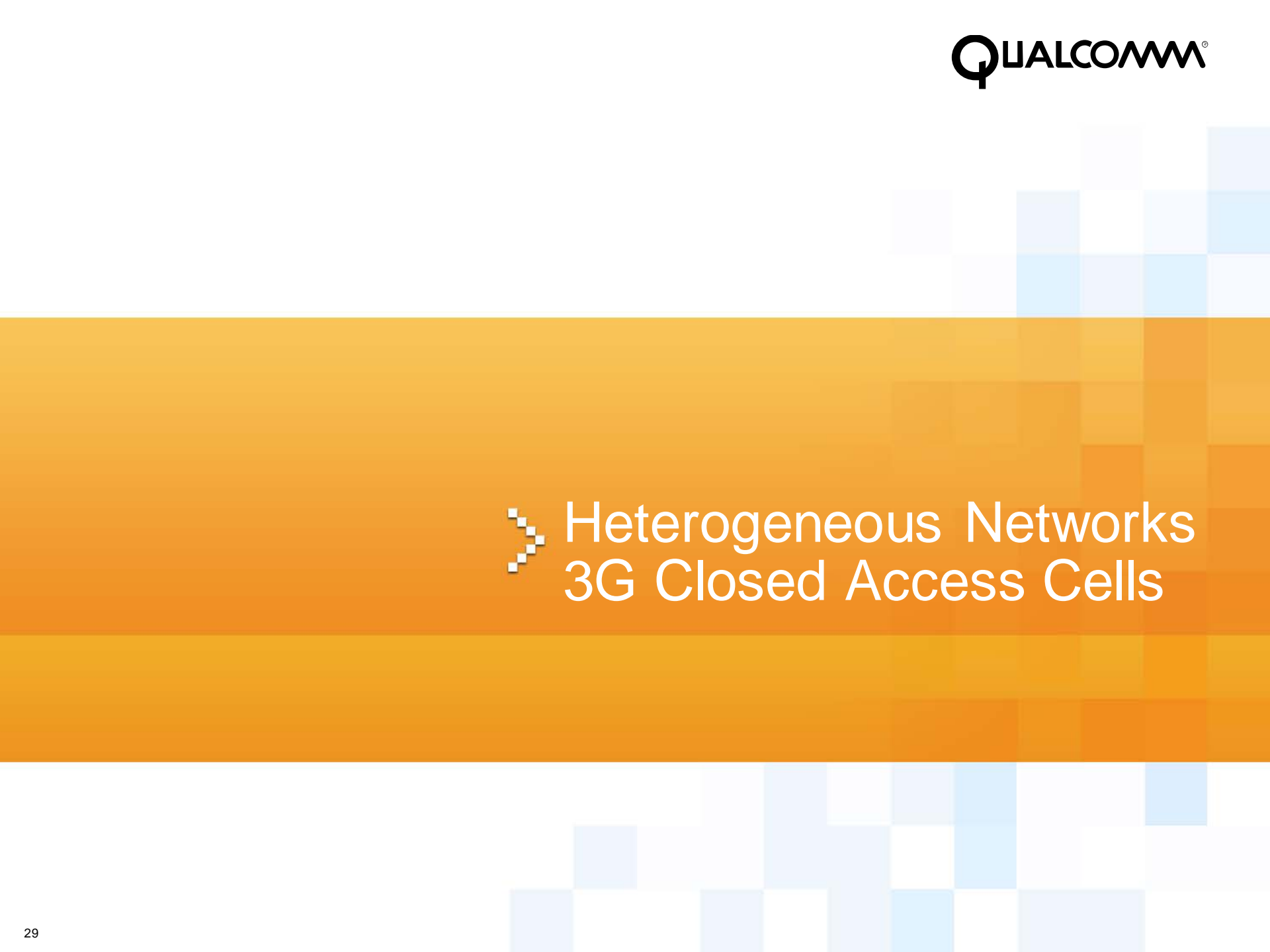
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8 Picos and 25 UEs per Macro cell, uniform random layout, PF scheduler, 10 MHz FDD, 2x2 MIMO, TU3 channel, NLOS, local partitioning algorithm

# LTE HetNet OTA Testbed

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- Co-channel [deployment](#) of macro and pico cells
- Advanced Features
  - X2 based interference management
  - TDM resource partitioning
  - Advanced receivers

The background consists of a central orange horizontal band. Above and below this band are white areas with a pattern of light blue squares of varying sizes and opacities, creating a pixelated or mosaic effect.

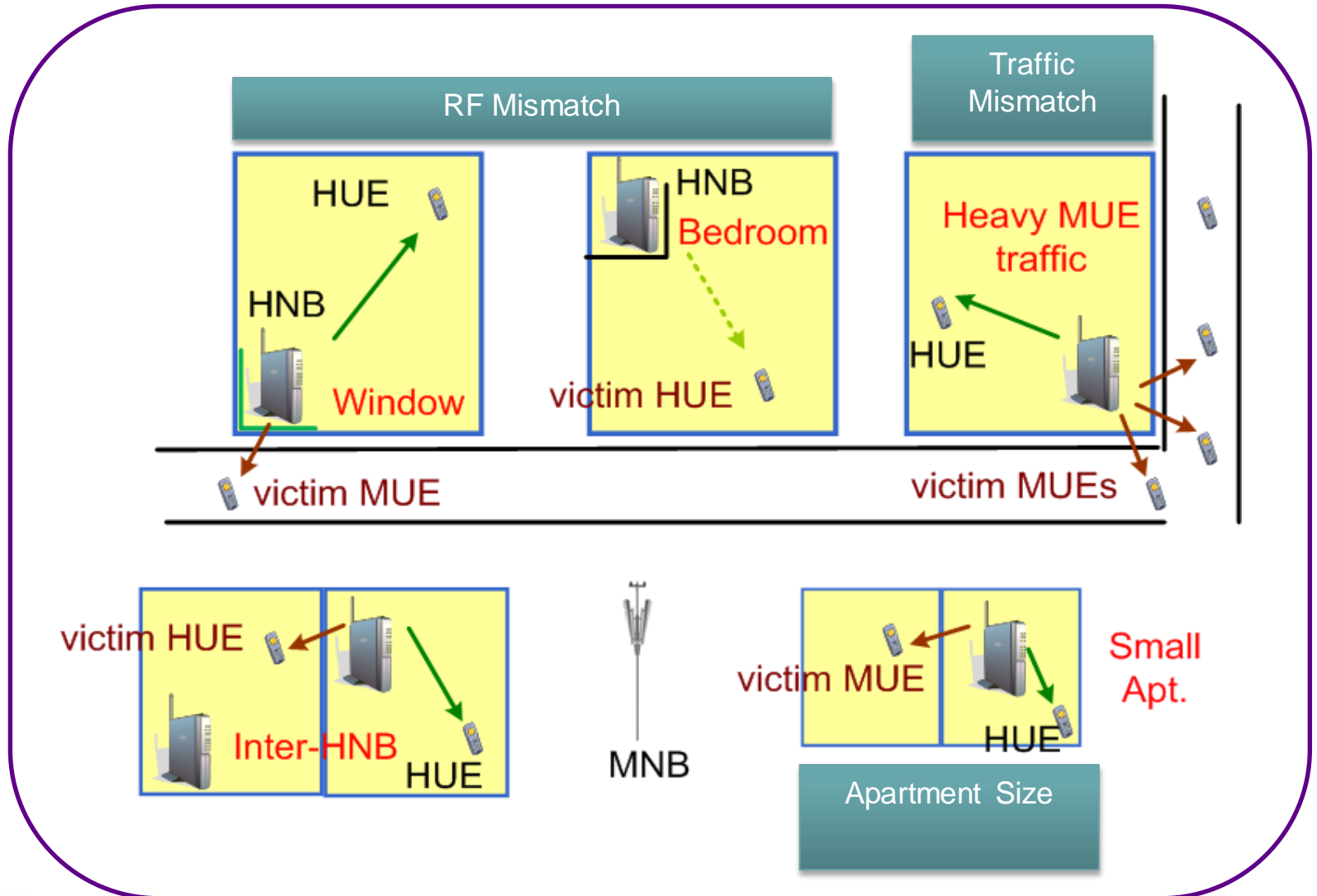
➤ Heterogeneous Networks  
3G Closed Access Cells

# Overview

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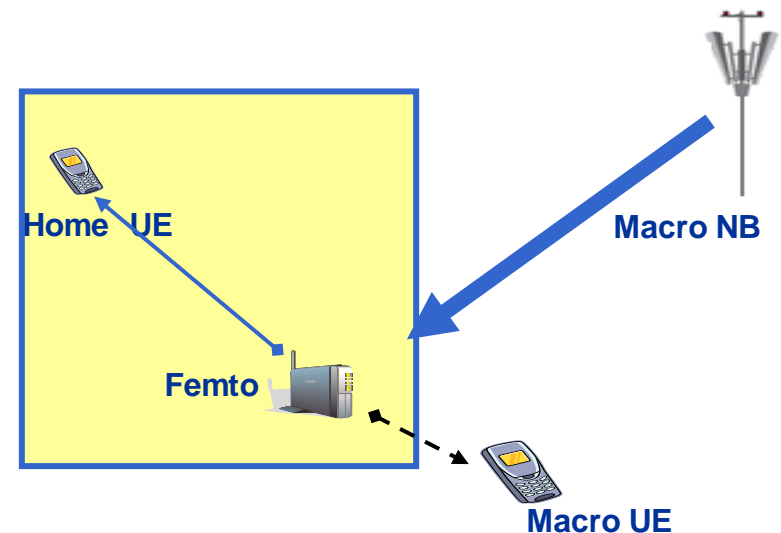
- Co-channel closed access femtocell deployment in residential scenarios requires effective interference and mobility management
  - Unplanned closed subscriber group (CSG) usage by residential users cause complex interference problems that requires effective SON features in femtocells
  - Legacy devices
  
- Need to address interference and mobility management challenges in residential CSG femto deployments
  - Femto  $\Leftrightarrow$  Macro interference
  - Femto  $\Leftrightarrow$  Femto interference

# Scenarios in Downlink



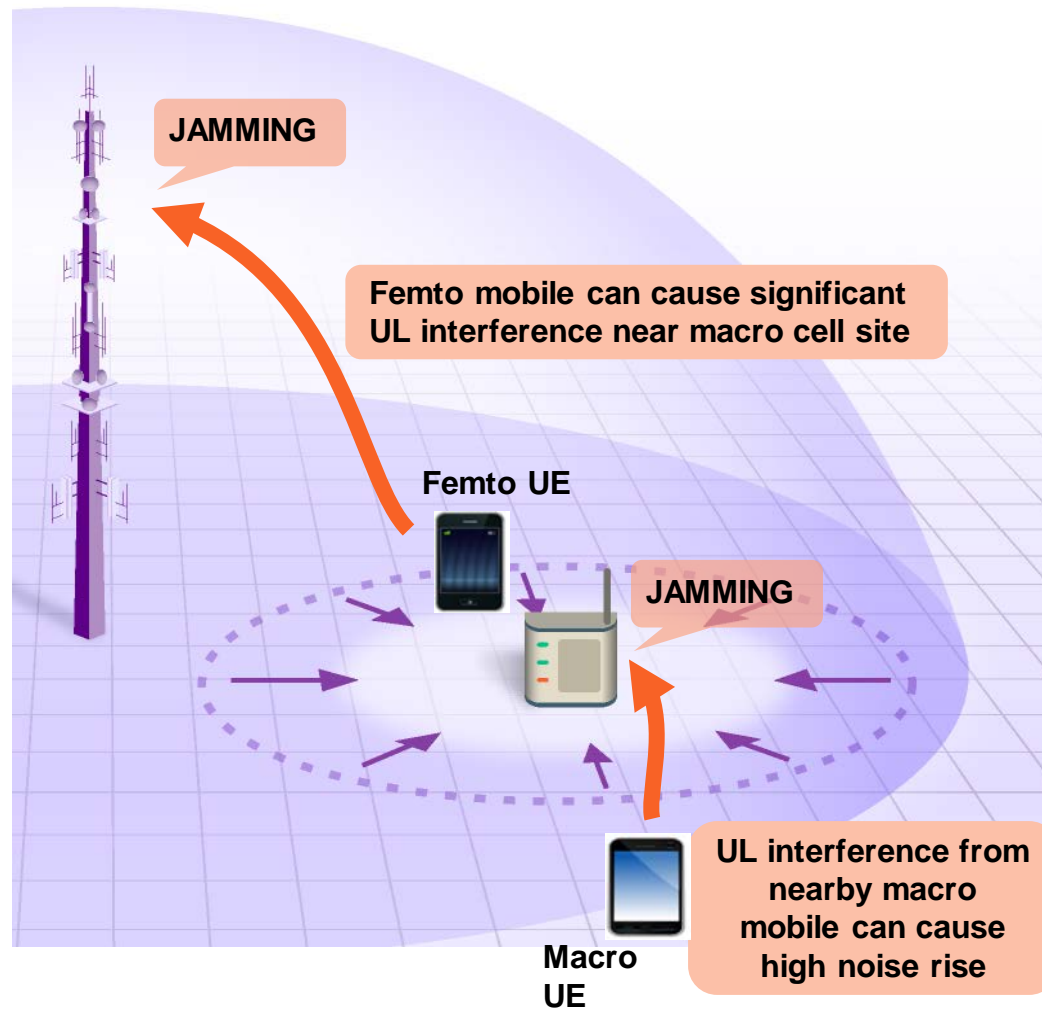
# Transmit Power Setting

- Objective
  - Provide good CSG coverage for the home UEs while protecting macro UEs
- Solutions
  - Set CSG transmit power as a function of RSSI from all neighbor NBs and pilot strength of dominant macro NB
  - Fine tune Tx power based on detection of macro UEs using uplink RSSI





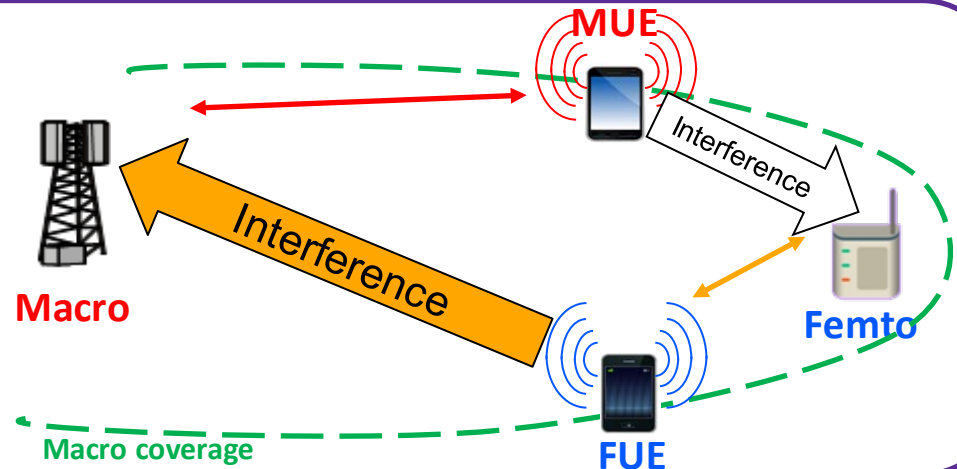
# Scenarios in Uplink



# Uplink Rise Setting

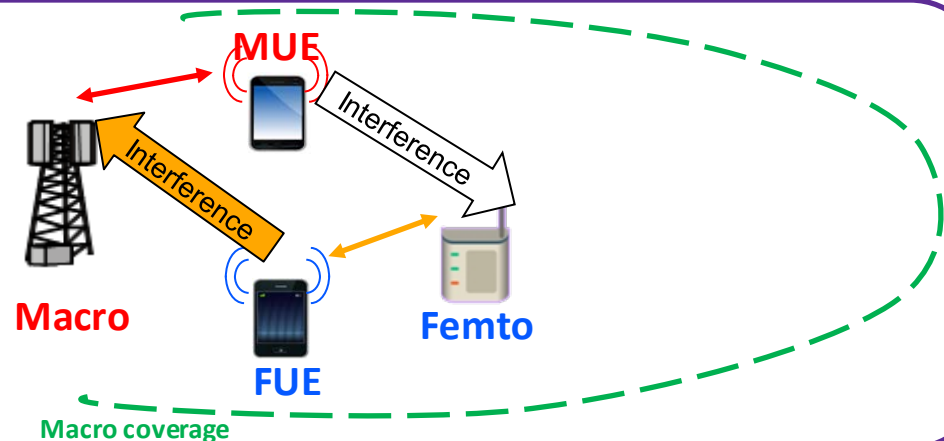
- **Macrocell edge  $\Rightarrow$  High femto noise rise threshold**

- Provides enough tolerance for FUE UL against nearby MUEs transmitting at high power
- Less likely to cause interference at the macrocell since FUEs also away from macrocell site



- **Macrocell site  $\Rightarrow$  Low femto noise rise threshold**

- Less femto UL tolerance is needed since MUEs at cell site transmit at lower power
- Protects macro from nearby FUEs



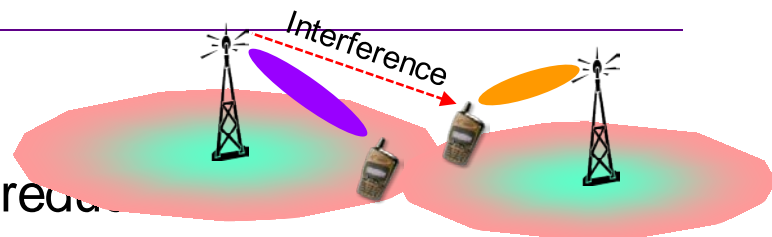


CoMP

# CoMP Techniques

## ■ Coordinated Beamforming (CBF)

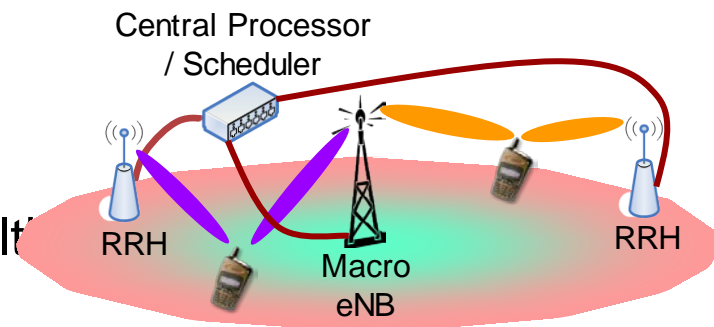
- Beamforming with spatial interference reduction to a UE served by adjacent cells
- Inter-cell scheduling and beam coordination to maximize the aggregated utility metric
- Need UE feedback of CSI from serving and interfering cells



Coordinated Beamforming

## ■ Joint Processing (JP)

- Multi-cell beam transmission to serve multiple UEs together at the same time
  - Balance between energy combining and transmit interference nulling to UEs scheduled by other cells
- Requires backhauls with large bandwidth and small delays (e.g. fiber-connected RRH)



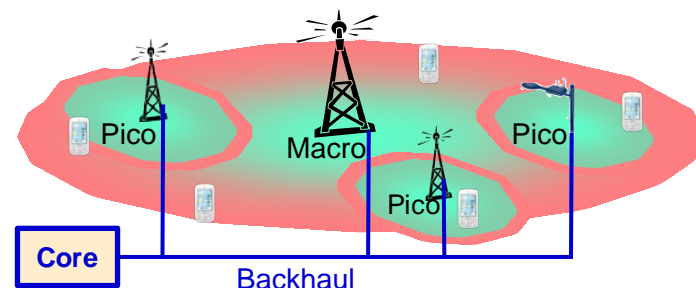
Joint Processing

CSI: Channel State Information  
RRH: Remote Radio Head

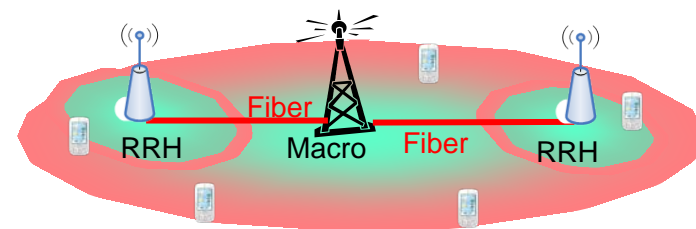
# Deployment

- Standalone low power pico cells
  - Relaxed backhaul requirements
  - X2 interface to macro cells
  - Diverse vendor selection
- RRHs as extensions of macro cells
  - High speed backhaul
  - No inter-vendor operability
  - Natural support for eICIC coordination and centralized processing

## Macro + Pico

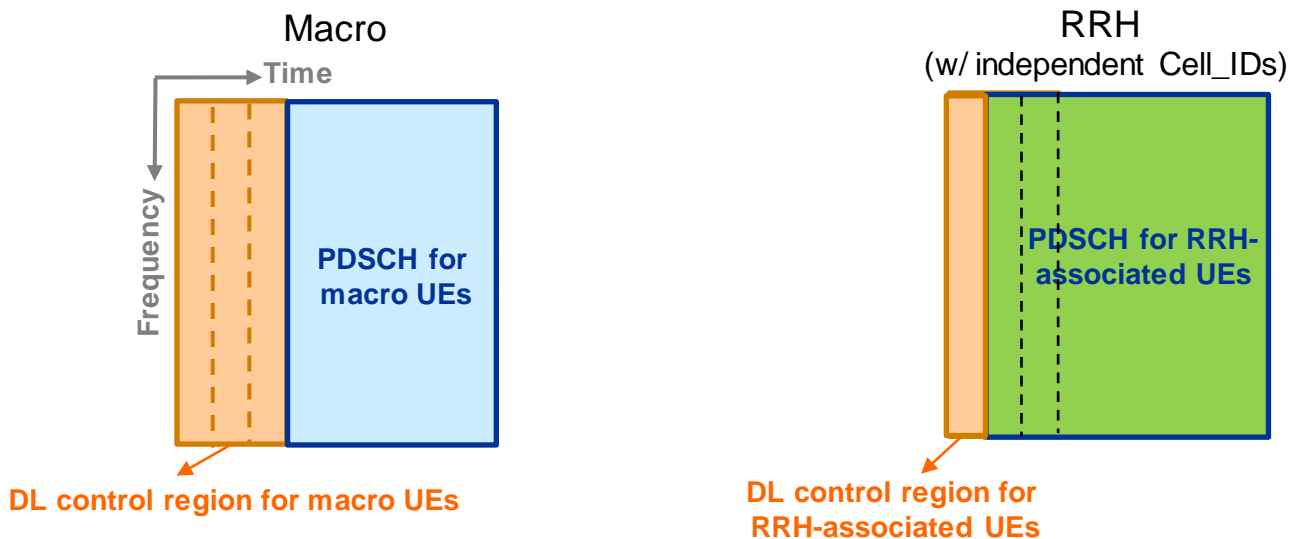


## Macro + RRH



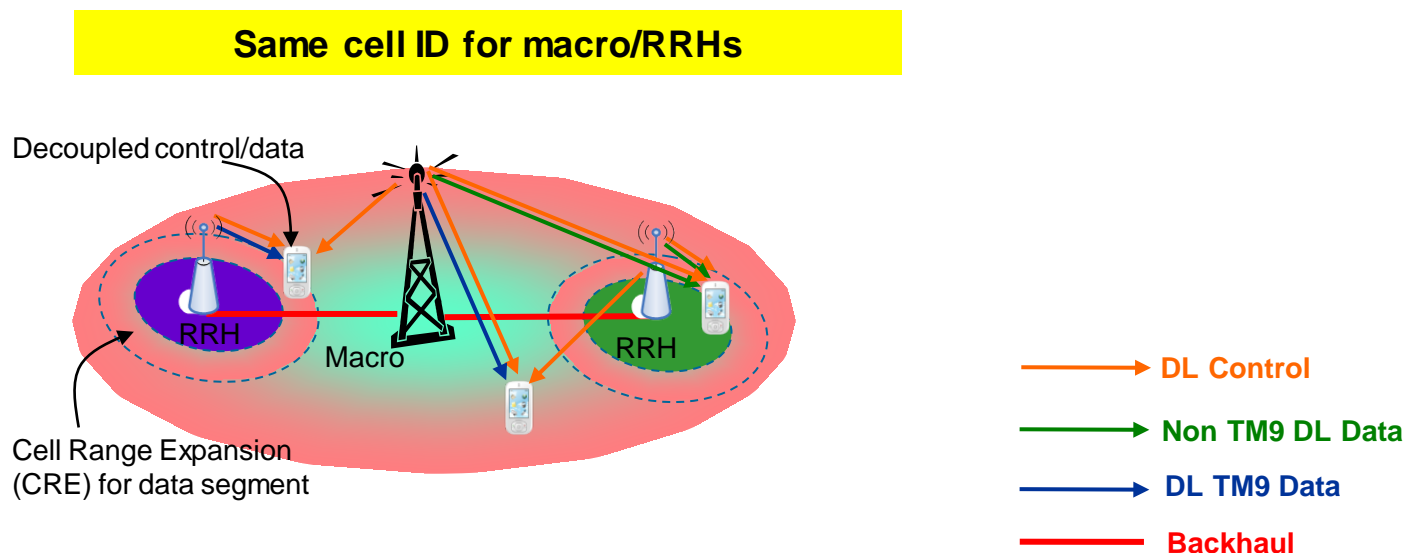
# Control Region

- Common control across macro/RRHs limit capacity
  - Control bottleneck  $\leftrightarrow$  Scheduling loss
  - SNR combining gain
- Independent cell IDs expand control dimensions



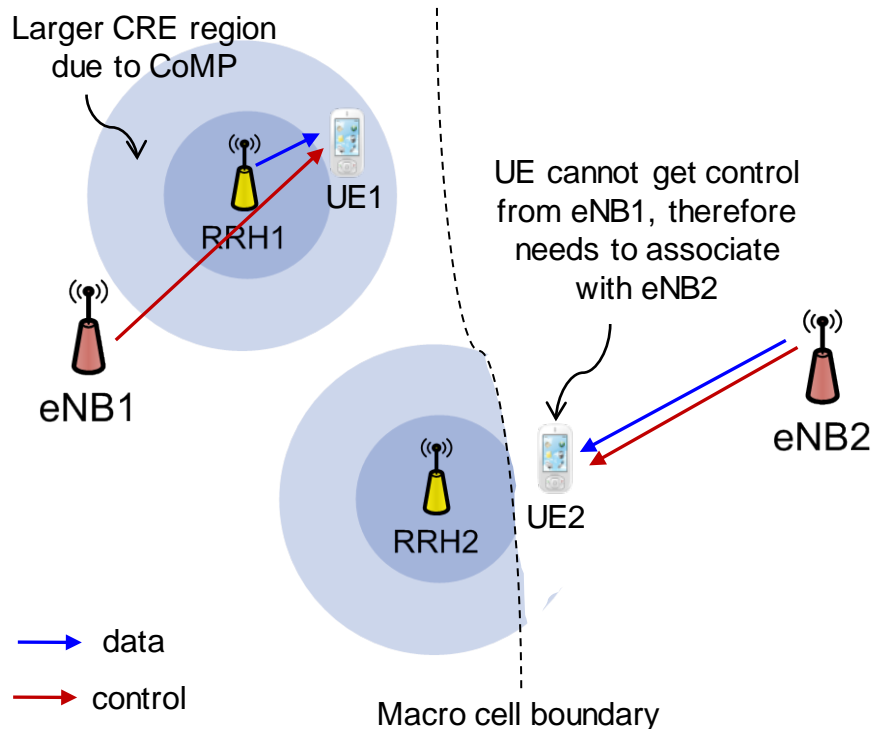
# Demodulation Reference Signals

- Same cell ID
  - Decoupled data and control transmissions
  - Additional demodulation reference signals used
  - Overhead = 9%

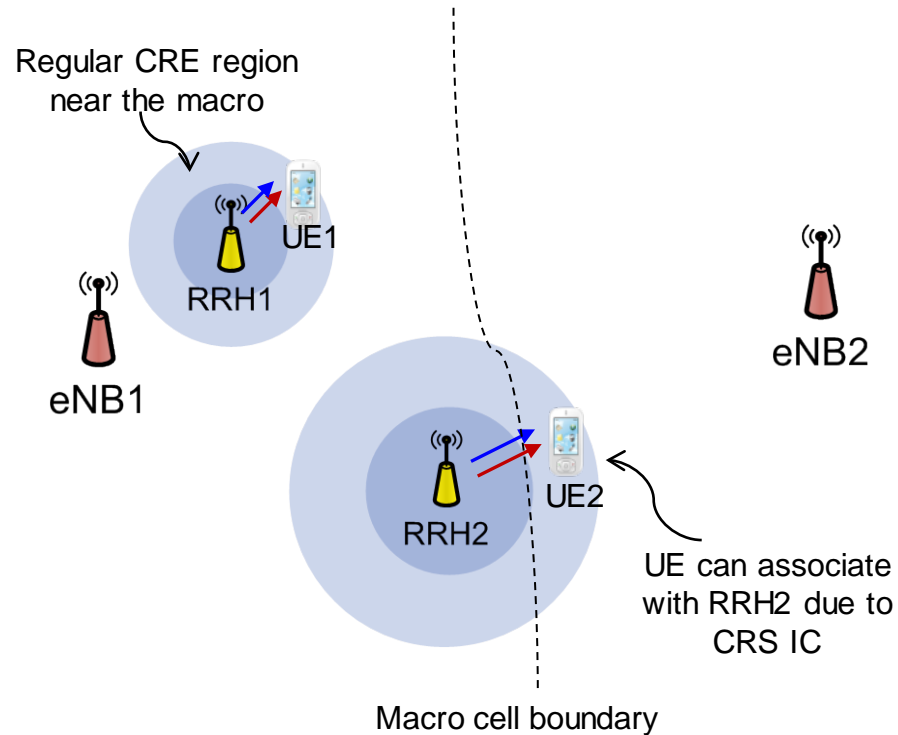


# Boundary Artifacts

## Decoupled control/data



## CoMP with CRS-IC

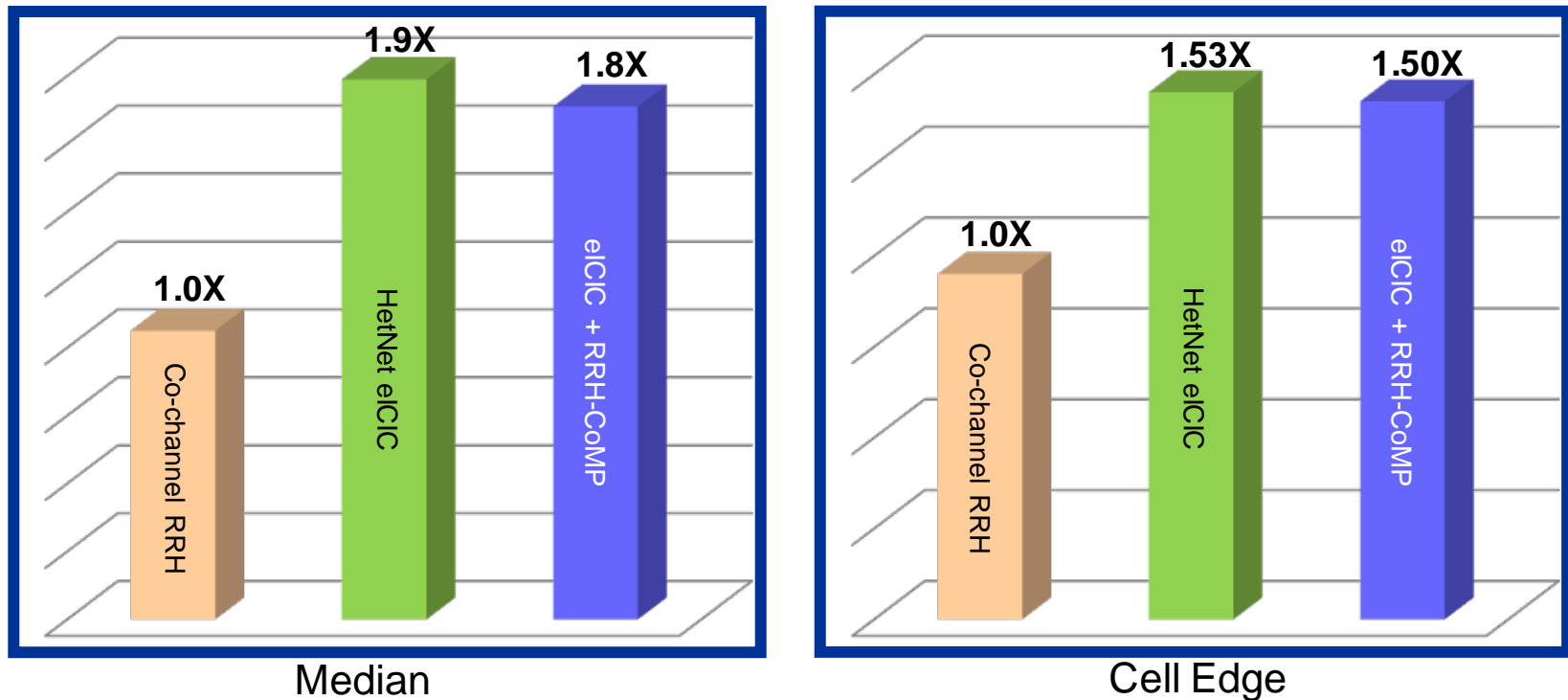




# Downlink – Uniform Distribution

500m  
ISD

## DL User Throughput Improvement

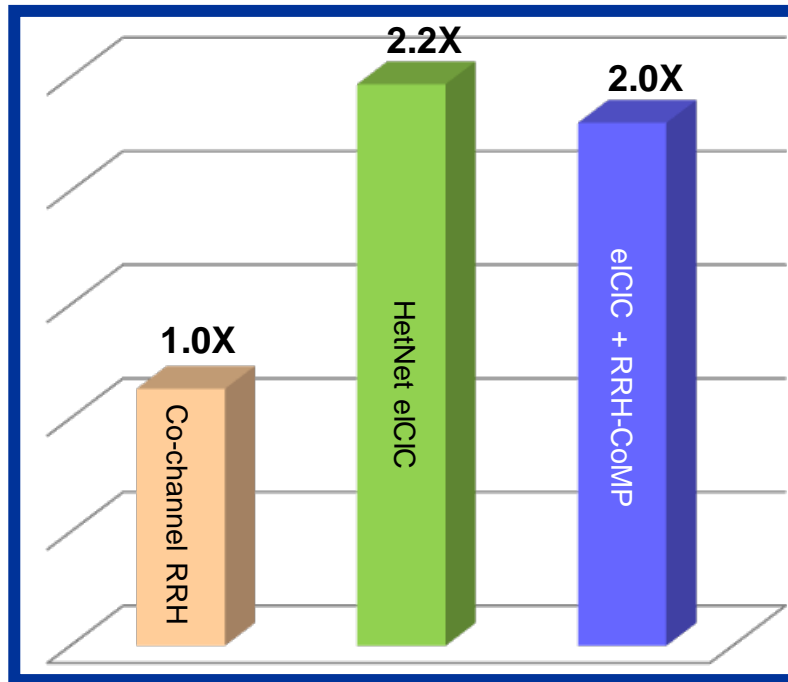


Results from R1-xxxxxx, simulation based on 3GPP 36.819. Macro ISD = 500m, 2GHz carrier, 10 degree antenna downtilt, 10 MHz FDD, 2x2 MIMO Configuration 1: 4 RRHs per Macro cell, cell, 25 UEs are uniform-randomly dropped, TU3 channel, NLOS, full-buffer traffic, PF scheduling **Realistic CSI feedback.** eICIC uses TM4, RRH-CoMP uses TM9 with centralized scheduler and multi-hypothesis CSI(3-bit codebook) with DM-RS overhead

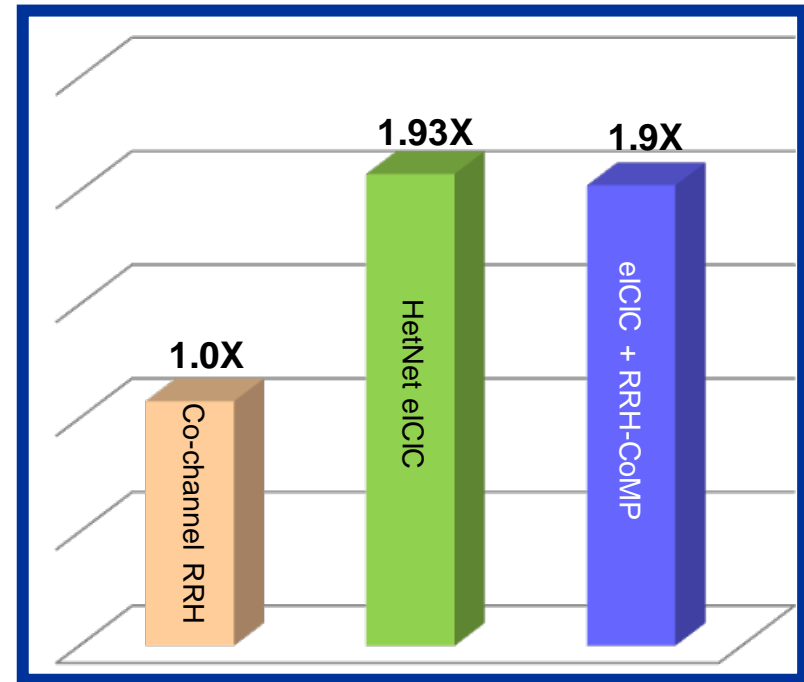
# Downlink – Hotspot Distribution

500m  
ISD

## DL User Throughput Improvement



Median



Cell Edge

Results from R1-xxxxxx, simulation based on 3GPP 36.819. Macro ISD = 500m, 2GHz carrier, 10 degree antenna downtilt, 10 MHz FDD, 2x2 MIMO Clustered configuration 4b: 4 RRHs per Macro cell, 20 out of 30 UEs are dropped near RRHs, TU3 channel, NLOS, full-buffer traffic, PF scheduling **Realistic CSI feedback**. eICIC uses TM4, RRH-CoMP uses TM9 with centralized scheduler and multi-hypothesis CSI(3-bit codebook) with DM-RS overhead

# Summary

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- HetNet CoMP provides limited capacity gain with the current framework
  - DL overhead
  - Imperfect feedback
  - Control bottleneck when one steps away from cell splitting

A white, pixelated arrow icon pointing to the right, composed of small squares.

What's Next?

# Goal

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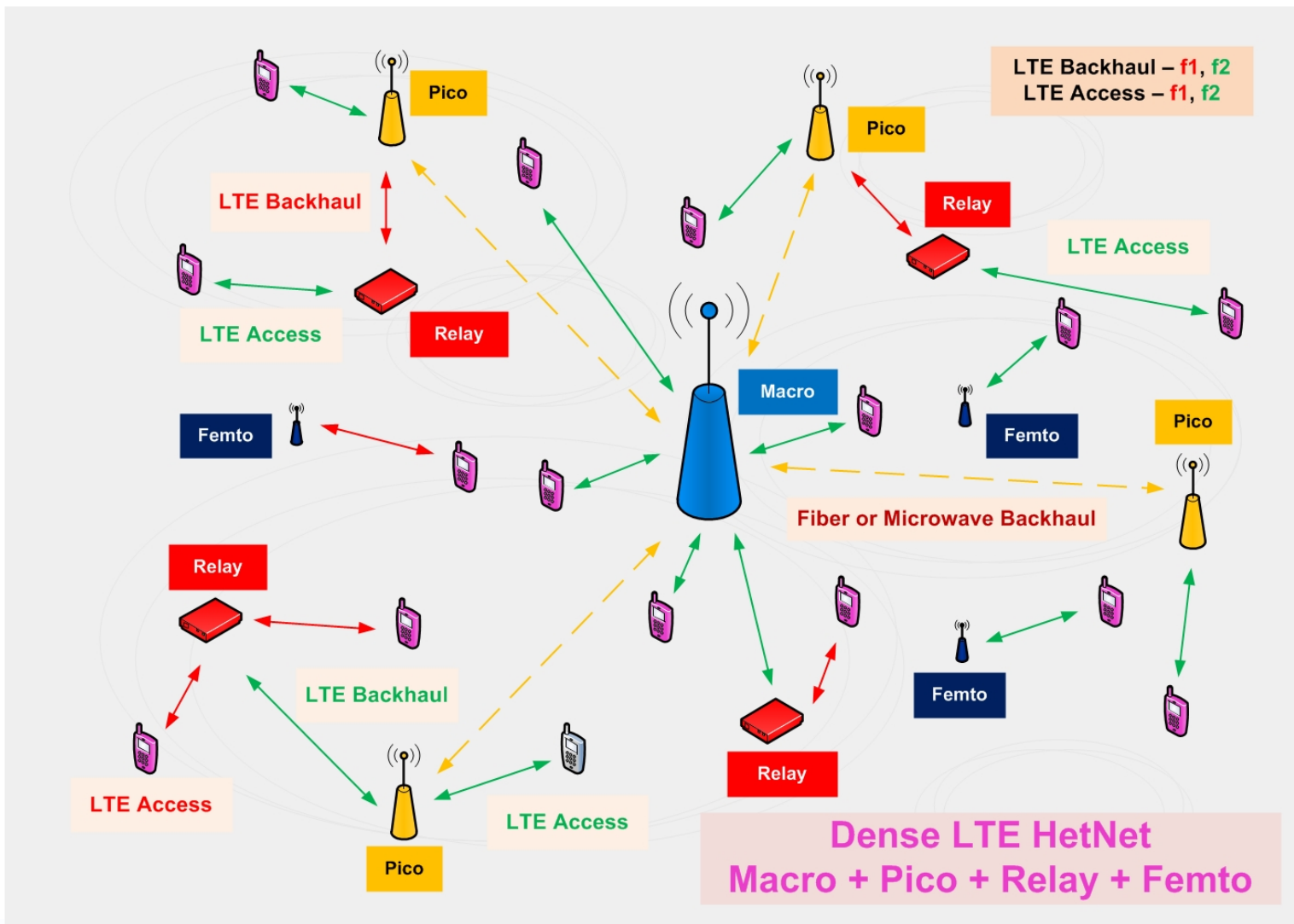
- Meet **1000x** data demand in 10 years
  - 2x growth per year
  - Significant improvement in capacity
- How do we get there?
- More spectrum
  - Today's LTE FDD deployments are typically 10 MHz
  - We need ~100 MHz
  - Assuming we get there, that should yield 10x capacity increase
- Where do we get the remaining 100x?

# Hyperdense LTE Network

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- Viral deployment of small cells
  - 200-300 small cells per typical macro cell coverage
  - Approach 1:1 ratio with number of devices
- Very small form factor for a flexible deployment
  - Light poles, wall sockets, indoor malls
- Innovative backhaul
  - Relays or inside-out coverage with open access femto cells
- Opportunistic usage
  - Small cells adapt to spatial/temporal traffic pattern and light up/down accordingly

# LTE HetNet 2.0



Thank You!

