

# Spatial Interference Management for Dense Wireless Networks: Alignment and Other Techniques

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Joint work with Roland Tresch (FTW)

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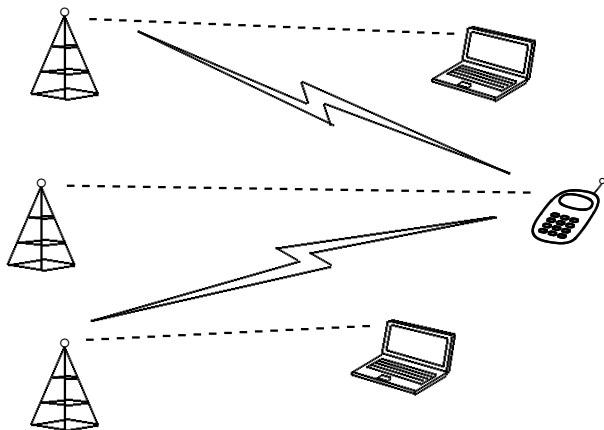


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# Current Systems are Interference-Limited

traffic growth + expensive spectrum = frequency reuse + interference

(the equation faced by wireless communication engineers...)



# Goals of this Presentation

## Focus on the Gaussian MIMO Interference Channel (MIMO-IC)

- Analyze interference alignment (IA) performance for
  - ▶ non-asymptotic SNR
  - ▶ many users, many antennas
- Compare to distributed Tx covariance optimization

## NOT Covered Here

- Numerous derivative works sparked by IA:
  - ▶ asymmetric complex signaling
  - ▶ lattice alignment
  - ▶ rational dimension alignment...
- Robustness w.r.t. imperfect CSI
  - ▶ some clues available from [Tresch,Guillaud ICC'09 ]
- Stochastic geometry-based analysis of IA in dense networks
  - ▶ Per-Cluster IA addressed in [Tresch,Guillaud ISIT'10 ]

# Recent Focus on the K-User MIMO-IC

## K-User MIMO Gaussian Interference Channel

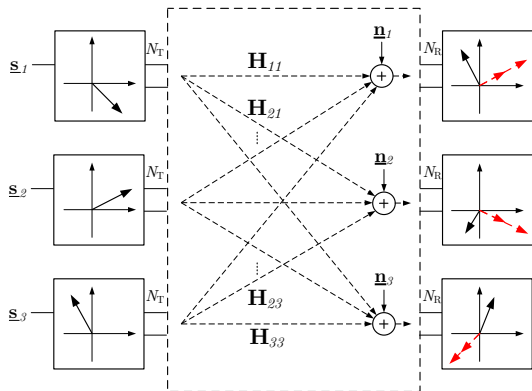
$$\underline{y}_i = \sum_{j=1}^K \mathbf{H}_{ij} \underline{x}_j + \underline{n}_i \quad \forall i = 1 \dots K.$$

- Rx  $i$  is interested in the message of Tx  $i$  only

## Interference Alignment

- [Gomadam, Cadambe, Jafar IT'08 , Cadambe, Jafar IT'08 ] proposes
  - ▶ low rank (less than channel rank) linear precoding
  - ▶ create a subspace without interference *at the receiver*

# Interference Alignment in Pictures



- $\underline{x}_i = \mathbf{V}_i \underline{s}_i \quad \forall i$ , where  $\mathbf{V}_i$  is tall, and  $\underline{s}_i$  contains the symbols to transmit
- interference  $\sum_{j \neq i} \mathbf{H}_{ij} \mathbf{V}_j \underline{s}_j$  does not occupy all receive dimensions

## Why is IA Attractive ?

- Simple formulation: find matrices  $\mathbf{V}_i$  and  $\mathbf{U}_i$  (resp.  $N_{T_i} \times d_i$  and  $N_{R_i} \times d_i$ )

$$\text{s.t.} \quad \begin{cases} \mathbf{U}_i^H \mathbf{H}_{ij} \mathbf{V}_j = 0, \quad \forall j \neq i \\ \text{rank}(\mathbf{U}_i^H \mathbf{H}_{ii} \mathbf{V}_i) = d_i. \end{cases}$$

- No complex multiuser codes, interference treated as noise
- Achievable rate per user does not saturate at high SNR

$$\lim_{SNR \rightarrow +\infty} \frac{C_i(SNR)}{\log SNR} = d_i$$

(a.s. under reasonable channel assumptions)

- ▶  $d_i$  interference-free signaling dimensions, or degree of freedom (DoF) available to user  $i$ .
- “each user gets half of the cake”
  - ▶ In symmetric systems with square channels ( $N_R = N_T = N$ ,  $d_i = d \forall i$ ), IA is feasible for  $d$  up to  $\frac{N}{2}$ .
  - ▶ Point-to-Point MIMO:  $\lim_{SNR \rightarrow +\infty} \frac{C(SNR)}{\log SNR} = N$  a.s.

# Limitations of IA

- Requires extensive CSI
- Can only be achieved for limited number of users  $K$   
[Yetis,Jafar,Kayran GC'09 ]
  - ▶ For symmetric systems with  $K$  users, IA is achievable a.s. iff

$$N_T + N_R - (K + 1)d \geq 0.$$

- ▶ Example with  $N_T = 4, N_R = 2, d = 1$ : solutions for  $K \leq 5$ .
- IA requires multi-dimensional fading channels:
  - ▶ multiple antennas (space)
  - ▶ OFDM (frequency)
  - ▶ channel extension (time)

# Solutions to IA over the MIMO-IC

- Iterative (slow !) scheme based on the minimization of the interference leakage metric [Gomadam,Cadambe,Jafar IT'08 ]:

$$I_w = \sum_{i=1}^K \sum_{j=1, j \neq i}^K |\mathbf{u}_i^H \mathbf{H}_{ij} \mathbf{v}_j|_{\text{Frob.}}^2$$

- Closed-form solutions only for certain K or in particular cases ( $N_T = N_R = K - 1$ , [Tresch,Guillaud,Riegler SSP'09 ])



# Cellular Network Simulation

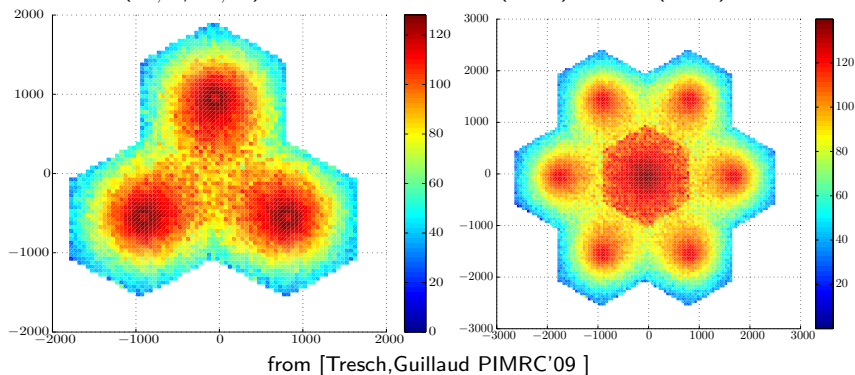
- Compare IA (among  $K$  cells out of  $L$ ) to frequency reuse 1/3
- Flat-fading channels (no attempt at scheduling)
- Compare the ergodic rate of IA  $R_{i,\text{IA}}(p_i)$  to that of frequency reuse + single-link waterfilling  $R_{i,\text{FR}}(p_i)$  at each position  $p_i$ .

	$K$	$L$	$N_T$	$N_R$
Scenario A	3	27	2	2
Scenario B	7	37	6	2

Parameter	Value
Transmit Power per Subcarrier	$\rho_i = 17 \text{ dBm } \forall i$
Path Loss Model	$\gamma_{ij} = 128.1 + 37.6 \cdot \log_{10}(r_{ij}[\text{km}]) \text{ dB}$
Path Loss Exponent	$\alpha = 3.76$
Fast Fading	Rayleigh
Cell Radius	$r = 1 \text{ km}$
Antenna Radiation Pattern	omnidirectional
Cell Shape	Hexagonal
Frequency Reuse Factor	$\kappa = 1/3$

# Cellular Network Simulation Results

$(R_{i,IA}/R_{i,FR})$  in % for Scenario A ( $K=3$ ) and B ( $K=7$ ).



- Some localized gains (hotspots ?)
- Average gain over space little or negative

# IA at *low* SNR: Improve Diversity (play with DMT)

- Let the codimension of the interference-free subspace (at Rx) be greater than the user's transmit DoF:  $d'_i \geq d_i$ .

- ▶ Letting  $\mathbf{U}_i \in \mathbb{R}^{N_{Ri} \times d'_i}$  and  $\mathbf{V}_i \in \mathbb{R}^{N_{Ti} \times d_i}$ ,

$$\text{IA} \Leftrightarrow \left\{ \begin{array}{l} \mathbf{U}_i^H \mathbf{H}_{ij} \mathbf{V}_j = 0_{d'_i \times d_j}, \quad \forall j \neq i \\ \text{rank}(\mathbf{U}_i^H \mathbf{H}_{ii} \mathbf{V}_i) = d_i \end{array} \right\}$$

- ▶ Equivalent channel after interference suppression  $\mathbf{U}_i^H \mathbf{H}_{ii} \mathbf{V}_i$  is tall (*diversity*).

- Updated feasibility criterion

- ▶ In the symmetric case ( $N_R \times N_T$ ,  $d_i = d$ ,  $d'_i = d'$ ), solution exists a.s. iff

$$d(N_T - d) + d'(N_R - d') - dd'(K - 1) \geq 0.$$

- ▶ Not symmetric in  $N_T, N_R$ : e.g.  $d = 1, d' = 2$  is feasible iff  $2K \leq N_T + 2N_R - 3$ .

- The iterative interference leakage minimization generalizes to this case

# IA Maximum Achievable Rate

- With optimum receiver, assuming that interference is not decodable
- Maximum rate achievable by user  $i$ :

$$I(\underline{y}_i; \underline{s}_i | \mathbf{H}) = \log \det \left( \mathbf{I}_{d_i} + \mathbf{V}_i^H \mathbf{H}_{ii}^H (\mathbf{Q}_i^I)^{-1} \mathbf{H}_{ii} \mathbf{V}_i \mathbf{Q}_i \right),$$

where

- ▶  $\mathbf{Q}_i = \mathbb{E} [\underline{s}_i \underline{s}_i^H]$  is the covariance at Tx  $i$ ,
- ▶  $\mathbf{Q}_i^I = \sum_{k \neq i} \mathbf{H}_{ik} \mathbf{V}_k \mathbf{Q}_k \mathbf{V}_k^H \mathbf{H}_{ik}^H + \sigma^2 \mathbf{I}$  is the covariance of interference+noise at Rx  $i$ ,
- ▶  $\mathbf{H} = \{\mathbf{H}_{ij}\}_{\substack{i=1 \dots K \\ j=1 \dots K}}$

# IA Achievable Rate with Projection Receiver

- Projection receiver

$$\begin{aligned}\bar{\underline{y}}_i &= \mathbf{U}_i^H \underline{y}_i = \mathbf{U}_i^H \mathbf{H}_{ii} \mathbf{V}_i \underline{s}_i + \sum_{j \neq i} \mathbf{U}_i^H \mathbf{H}_{ij} \mathbf{V}_j \underline{s}_j + \mathbf{U}_i^H \underline{n}_i \\ &= \underbrace{\mathbf{U}_i^H \mathbf{H}_{ii} \mathbf{V}_i}_{\bar{\mathbf{H}}_{ii}} \underline{s}_i + \bar{\underline{n}}_i.\end{aligned}$$

- Suboptimal but simple
  - ▶ interference cancellation in the analog front-end can ease the digital front-end requirements (EC FP7 project MIMAX)
- Ergodic MI is trivially (using truncated unitary  $\mathbf{U}_i$  and  $\mathbf{V}_i$ )

$$\bar{R}_i = \mathbb{E}_{\mathbf{H}} \left[ I(\bar{\underline{y}}_i; \underline{s}_i | \mathbf{H}) \right] = \mathbb{E}_{\bar{\mathbf{H}}_{ii}} \left[ \log \det (\mathbf{I}_D + \bar{\mathbf{H}}_{ii} \mathbf{Q}_i \bar{\mathbf{H}}_{ii}^H) \right].$$

- ▶ if  $\mathbf{H}_{ij}$  is Gaussian i.i.d. fading and channels are independent between users, then  $\bar{\mathbf{H}}_{ii}$  is  $d'_i \times d_i$  Gaussian i.i.d.

# Ergodic Achievable Rates under IA

- Symmetric case with Tx power  $P$  spread equally over  $d$  signaling dimensions
  - ▶  $\mathbf{Q}_i = \frac{P_i}{d_i} \mathbf{I}_{d_i}$ ,  $\mathbf{V}_i$ 's truncated unitary matrices (power normalization)
- We want

$$R_i = \mathbb{E}_{\mathbf{H}} \left[ I(\underline{y}_i; \underline{s}_i | \mathbf{H}) \right] = \mathbb{E}_{\mathbf{H}} \left[ \log \det \left( \mathbf{I}_D + \mathbf{V}_i^H \mathbf{H}_{ii}^H (\mathbf{Q}_i^I)^{-1} \mathbf{H}_{ii} \mathbf{V}_i \mathbf{Q}_i \right) \right]$$

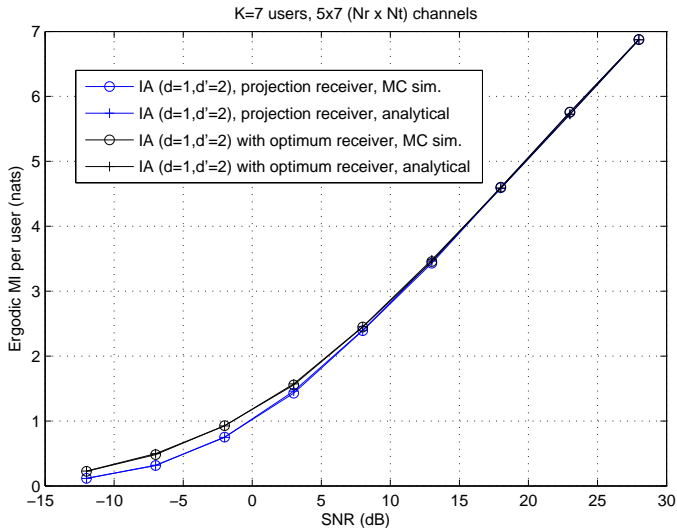
- ▶  $\mathbf{Q}_i^I$  occupies a random (dependent on the channel realization) subspace of the  $N_{R_i}$ -dimensional receiver subspace.
- ▶ We obtain a tight lower bound

$$\mathbb{E}_{\mathbf{H}} \left[ I(\underline{y}_i; \underline{s}_i | \mathbf{H}) \right] \gtrsim \mathbb{E}_{\mathbf{H}} \left[ \log \det \left( \mathbf{I}_{d_i} + \mathbf{A} \Psi \mathbf{A}^H \right) \right]$$

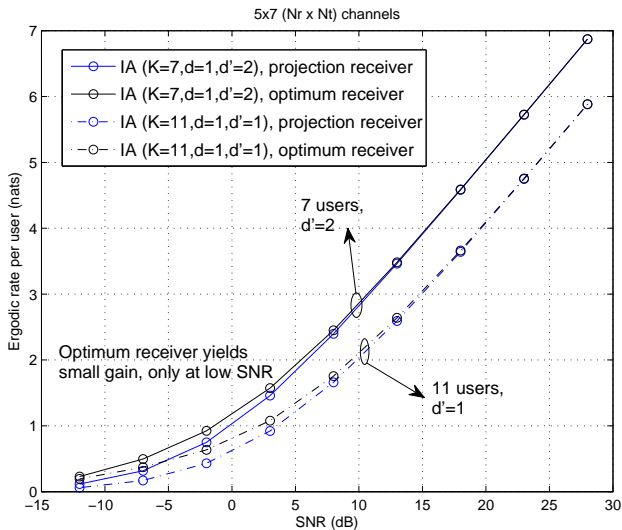
where  $\mathbf{A}$  is a  $d_i \times N_{R_i}$  matrix with complex Gaussian i.i.d. coefficients of unit variance, and  $\Psi = \text{diag} \left( \frac{P_i}{d_i \sigma^2} \mathbf{I}_{d_i'}, \frac{P_i}{d_i (\sigma^2 + \sum_{k \neq i} P_k)} \mathbf{I}_{N_{R_i} - d_i'} \right)$ .

- ▶ Evaluated using a recent RMT result [Chiani, Win, Shin IT'10]

# Validation of the Ergodic MI Formulas

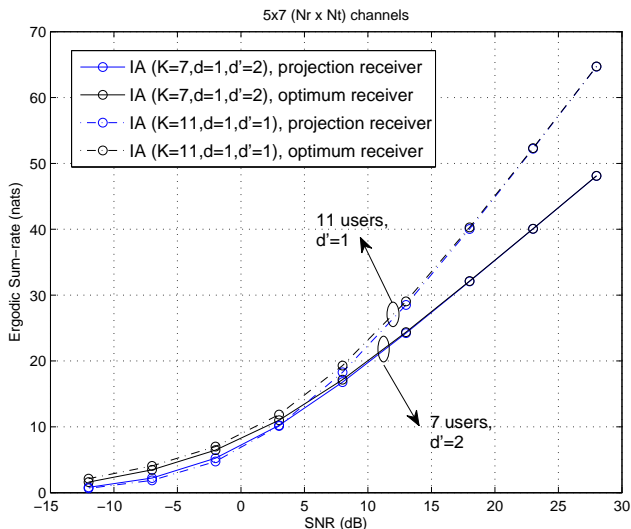


# Influence of $d' > d$ , Per-user Rate





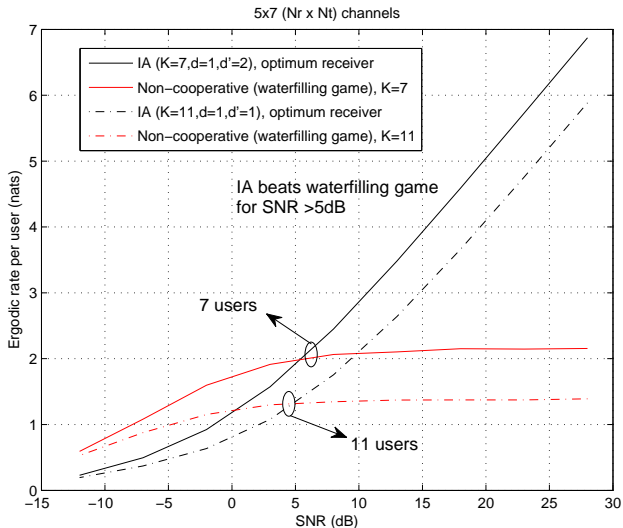
# Influence of $d' > d$ , Sum-Rate



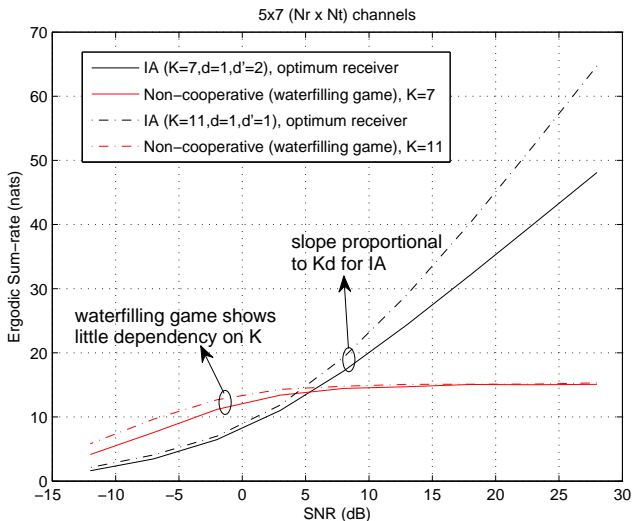
# Comparison with Non-Cooperative Methods

- Comparison with the method of [Scutari,Palomar,Barbarossa IT'09 ], based on a game-theoretic optimization of the transmit covariances.
  - ▶ Each Tx does waterfilling based on the interference covariance at his intended receiver resulting from the previous iterations
- Properties:
  - ▶ Inherently distributed method, requires only local channel knowledge
  - ▶ Faster convergence than the leakage-based IA algorithm
  - ▶ Does not always reach a Nash equilibrium (depending on  $\mathbf{H}$ ). If not, draw another channel realization.

# Cooperative (IA) vs. Non-Cooperative (Waterf. Game), Per-User Rate



# Cooperative (IA) vs. Non-Cooperative (Waterf. Game), Sum-Rate



# Summary

- Analyzed the ergodic performance of IA under Rayleigh fading
  - ▶ Closed-form formulas enable asymptotic (in users, antennas) and low-SNR analysis
  - ▶ Facilitates optimization of system parameters ( $d, d', N_T \dots$ )
- Comparison with distributed transmit covariance optimization (game theory)
- Introduced “diversity receivers” in IA

Outlook:

- Performance of “pure” IA in the low SNR regime is far from spectacular
  - ▶ arguably due to the subspace-based definition
  - ▶ answer in approximate alignment, properly weighing the interference power ?

Thank you for your attention

Questions ?

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